

# Subjective sleep quality in healthy subjects – What can PSG really tell us?

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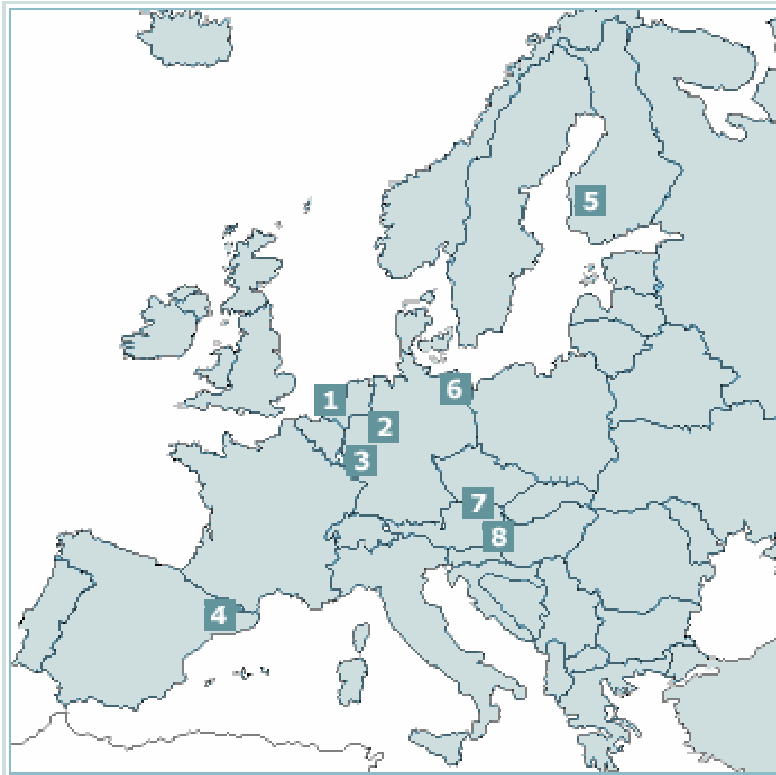
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<sup>4</sup>Department of Psychiatry, Medical University of Vienna, Vienna, Austria

# The polysomnographic SIESTA database

195 healthy subjects/97 patients were investigated for 2 consecutive nights, resulting in a total of 584 polysomnographies



## 8 clinical partners:

- 1 Holland Sleep Research
- 2 Philipps University Marburg
- 3 University of Mainz
- 4 Hospital de la Santa Creu i Sant Pau, Barcelona
- 5 Tampere University Hospital
- 6 Free University of Berlin
- 7 Department of Psychiatry, Medical University of Vienna
- 8 Department of Neurology, Medical University of Vienna

# Entrance Examination for the SIESTA and SENSATION WP 1.6 Database

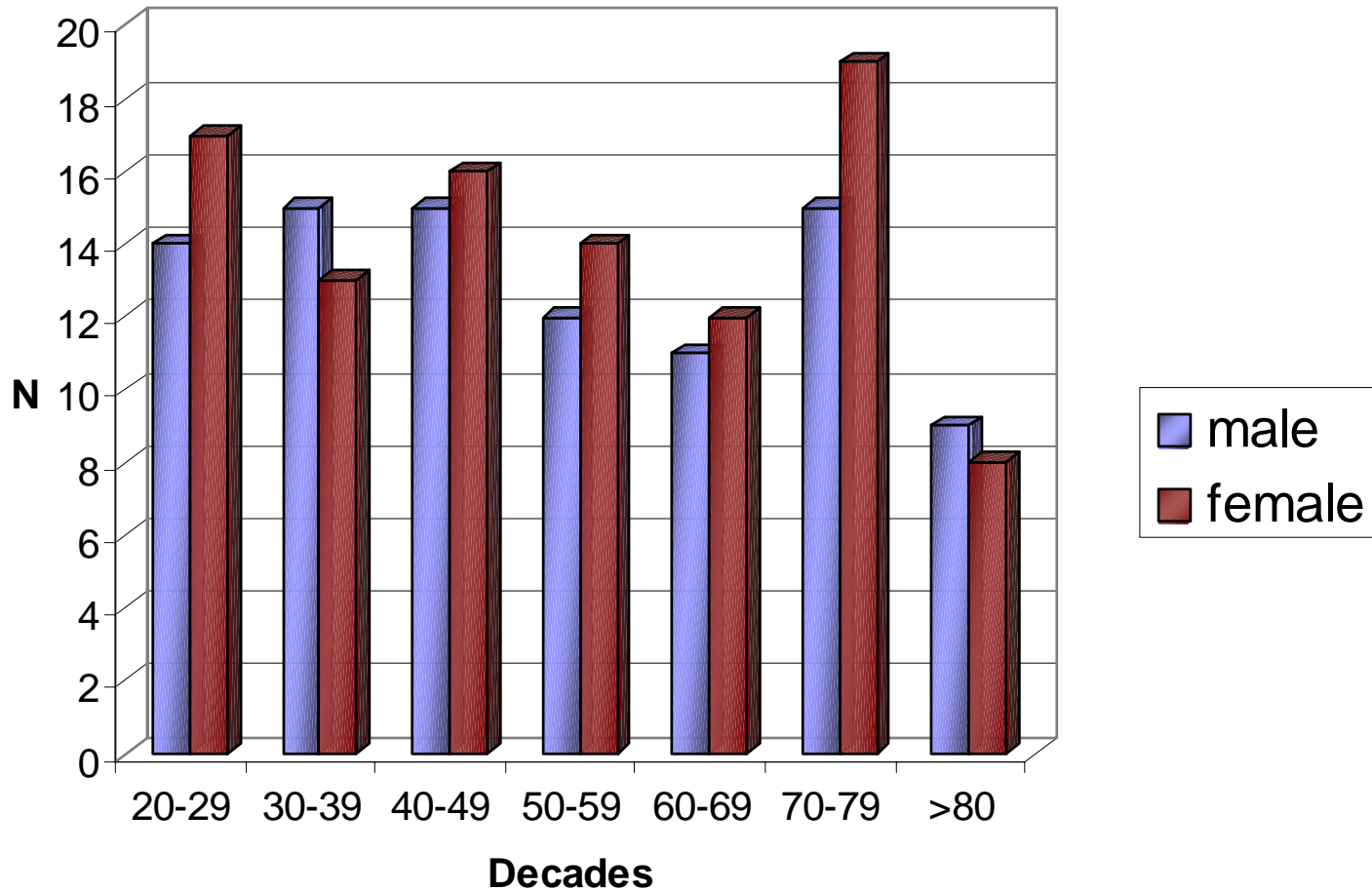
Table 1. Entrance examination.

Examination	Exclusion criteria (if applicable)
Physical examination	Any significant medical condition interfering with the aim of the study
Mini Mental State Examination	MMSE score (30 items) <25
Self-rated scales	Pittsburgh Sleep Quality Index: global score >5; PSQI item 1: usual bedtime before 22.00 or after 24.00 hours
	Quality of Life Questionnaire
	Generalised Self-Efficacy Scale
	Self-rated Anxiety Scale: raw score $\geq 33$
	Self-rated Depression Scale: raw score $\geq 35$
	Personal Inventory
Blood tests	Clearly pathological values for haemoglobin, haematocrit, erythrocyte count, leukocyte count, platelet count, ALT, AST, gamma-GT, bilirubin, alkaline phosphatase, creatinine, free T3; single laboratory values outside the normal range were generally not regarded as an exclusion criterion provided <ul style="list-style-type: none"> <li>• they were not accompanied by clinical symptoms;</li> <li>• the context of related laboratory values did not indicate a pathological process; and</li> <li>• the investigator regarded these laboratory values as clinically irrelevant and documented that in writing on the Case Report Form.</li> </ul>

# Normal Healthy Subjects (PSQI $\leq$ 5)

- 177 healthy subjects (83 males and 94 females) aged between 20 and 95 years.

# Normal Healthy Subjects (PSQI $\leq 5$ )



**“Subjective Sleep Quality” versus “Objective Sleep Quality”**

# Evaluation of “Subjective Sleep Quality”

## ***Self-Rating Scale for Sleep and Awakening Quality (SSA)***

by Saletu et al. (1987)

20 items => 3 subscores and 1 total score

SSA-1 subjective sleep quality

SSA-2 subjective awakening quality

SSA-3 somatic complaints

Patient ID: \_\_\_\_\_ Date: \_\_\_\_\_

Night: \_\_\_\_\_

**SELF-RATING SCALE FOR SLEEP AND AWAKENING QUALITY (SSA)**

<b>SLEEP QUALITY</b>	no	slightly	moderately	very much
1. Did you sleep well ?				
2. Did you have deep sleep?				
3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

<b>AWAKENING QUALITY</b>	no	slightly	moderately	very much
8. Did you feel giddy after awakening?				
9. Did you feel disorientated?				
10. Did you feel tired?				
11. Were you in a good mood?				
12. Did you feel interested in your surroundings?				
13. Did you feel slowed down?				
14. Was your attention / concentration reduced?				
15. Did you feel refreshed and rested?				

Subscore 2: \_\_\_\_\_

<b>SOMATIC COMPLAINTS</b>	no	slightly	moderately	very much
16. Any nausea after awakening?				
17. Any headache?				
18. Dryness of your mouth?				
19. Any dizziness?				
20. Incoordination of movements?				

Subscore 3: \_\_\_\_\_ , **Total score:** \_\_\_\_\_

- 22. When did you go to bed? \_\_\_\_\_ h. \_\_\_\_\_ min.
- 23. When did you turn out the lights? \_\_\_\_\_ h. \_\_\_\_\_ min.
- 24. When did you fall asleep? \_\_\_\_\_ h. \_\_\_\_\_ min.
- 25. How often did you awake during the night? \_\_\_\_\_ times
- 26. When was your final awakening? \_\_\_\_\_ h. \_\_\_\_\_ min.
- 27. How much sleep did you get at all? \_\_\_\_\_ hrs. \_\_\_\_\_ min.
- 28. When did you get out of bed? \_\_\_\_\_ h. \_\_\_\_\_ min.



# Evaluation of “Subjective Sleep Quality”

<b>SLEEP QUALITY</b>	no	slightly	moderately	very much
1. Did you sleep well ?				
2. Did you have deep sleep?				
3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

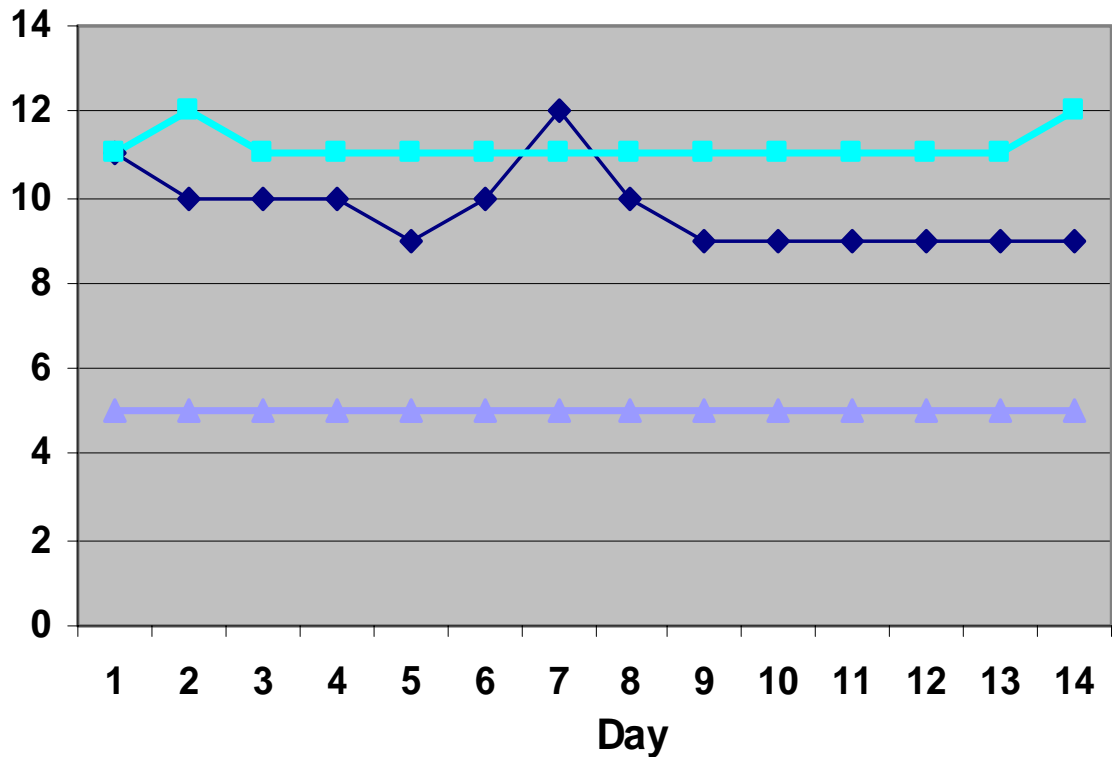
Subscore 1: \_\_\_\_\_

Range for sub-score 1 (Sleep Quality): 7 (good) – 28 (poor)

# Subjective Sleep Quality (SSA-1)

## Normal Healthy Subjects (n:177)

Median



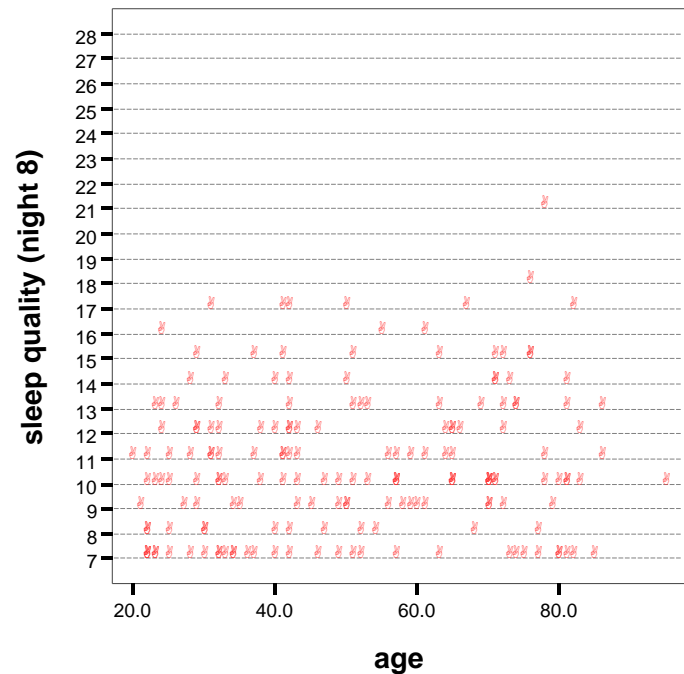
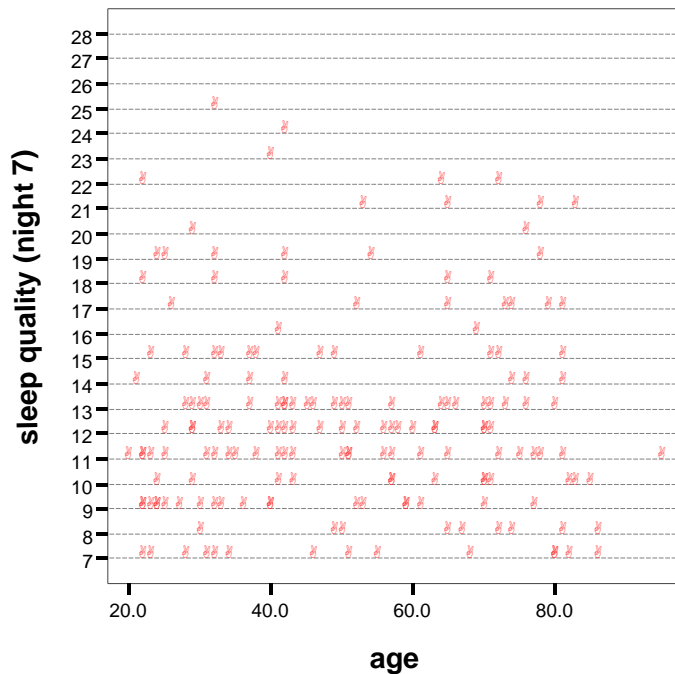
Sleep  
quality

Awakening  
quality

Somatic  
complaints

# Subjective Sleep Quality (SSA-1)

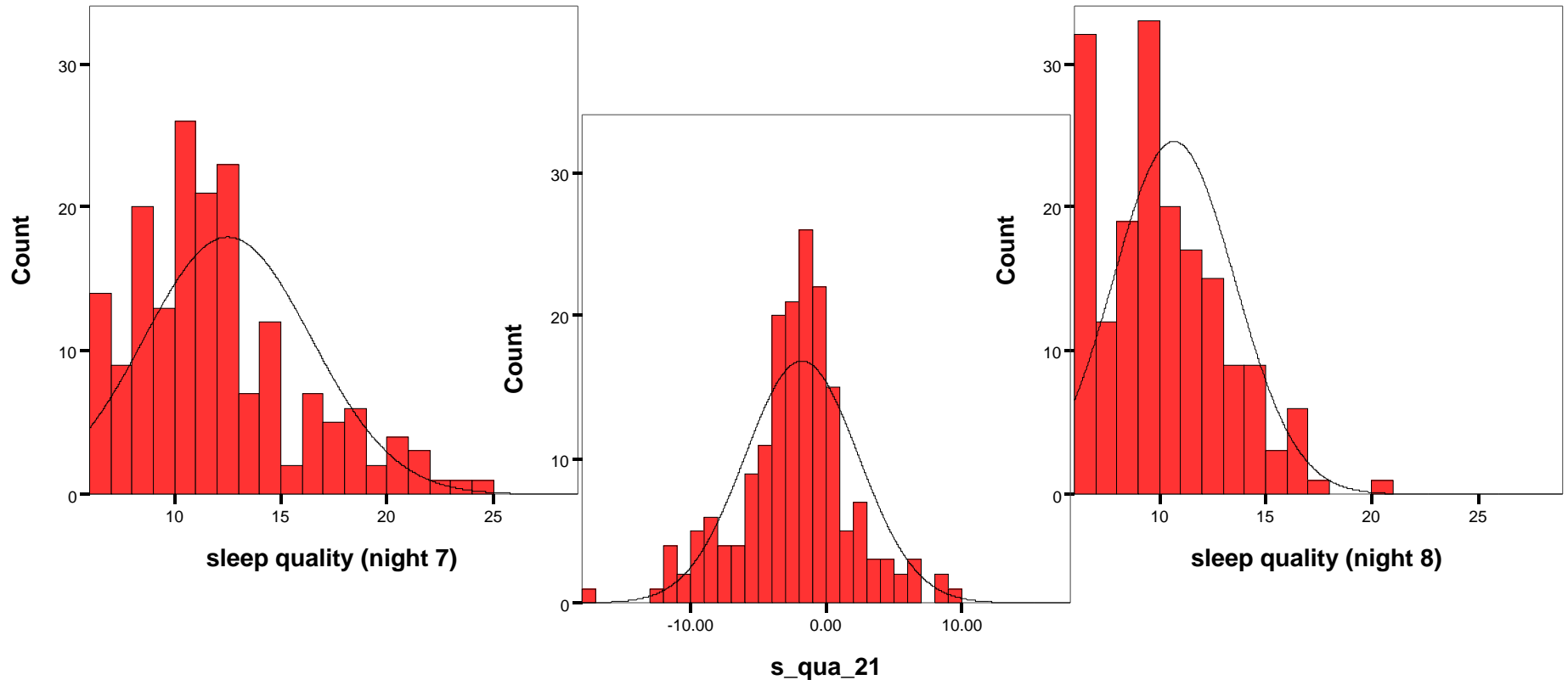
## Normal Healthy Subjects (n:177)



Adaptation night (“model of transient insomnia”):  
Increases variance even in healthy subjects

# Subjective Sleep Quality (SSA-1)

## Normal Healthy Subjects (n:177)



Baseline - adaptation night (“normalization”):

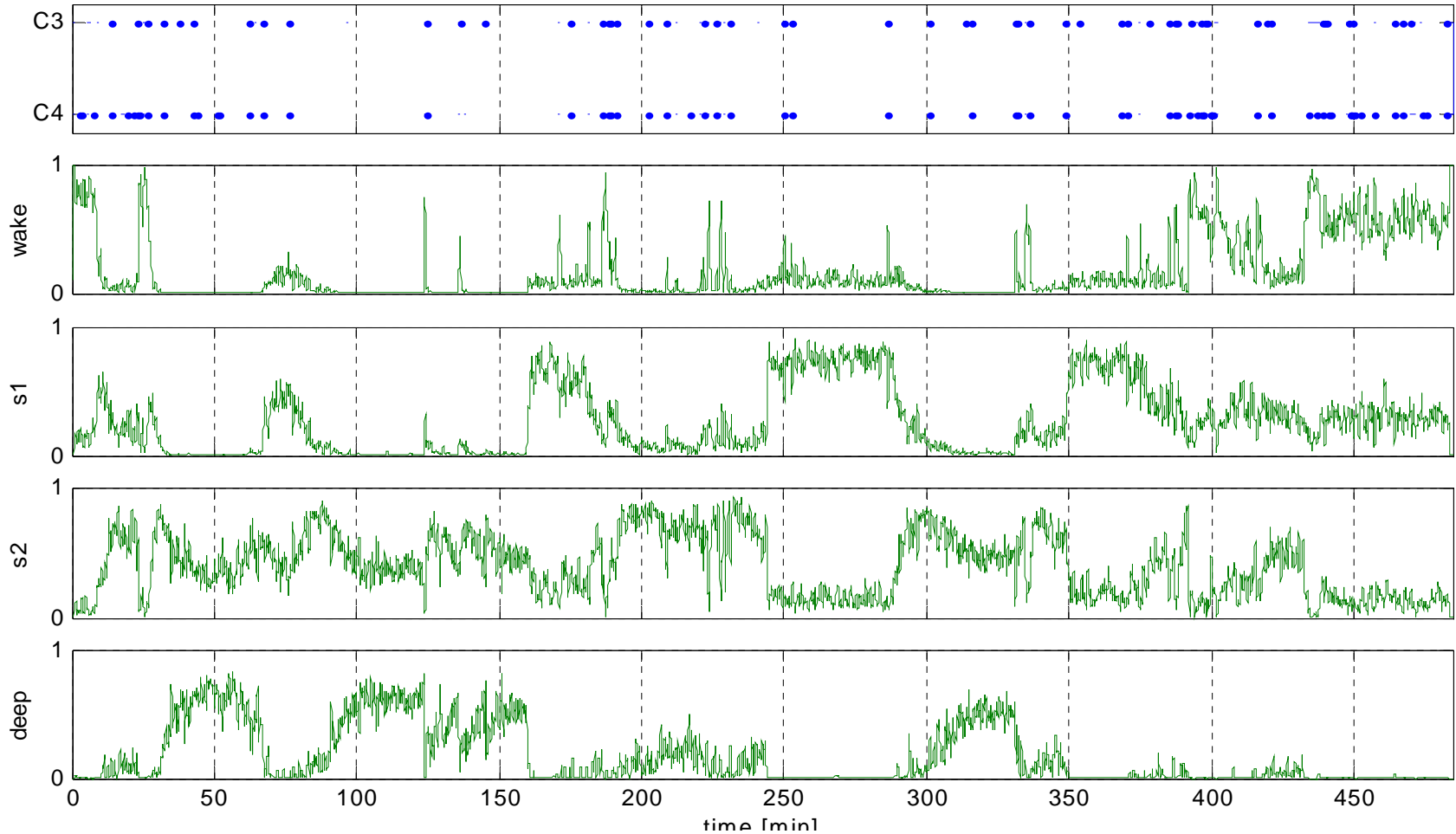
Avoids the problem of interindividual differences in handling rating scales and sleep habits

# Evaluation of “Objective Sleep Quality”

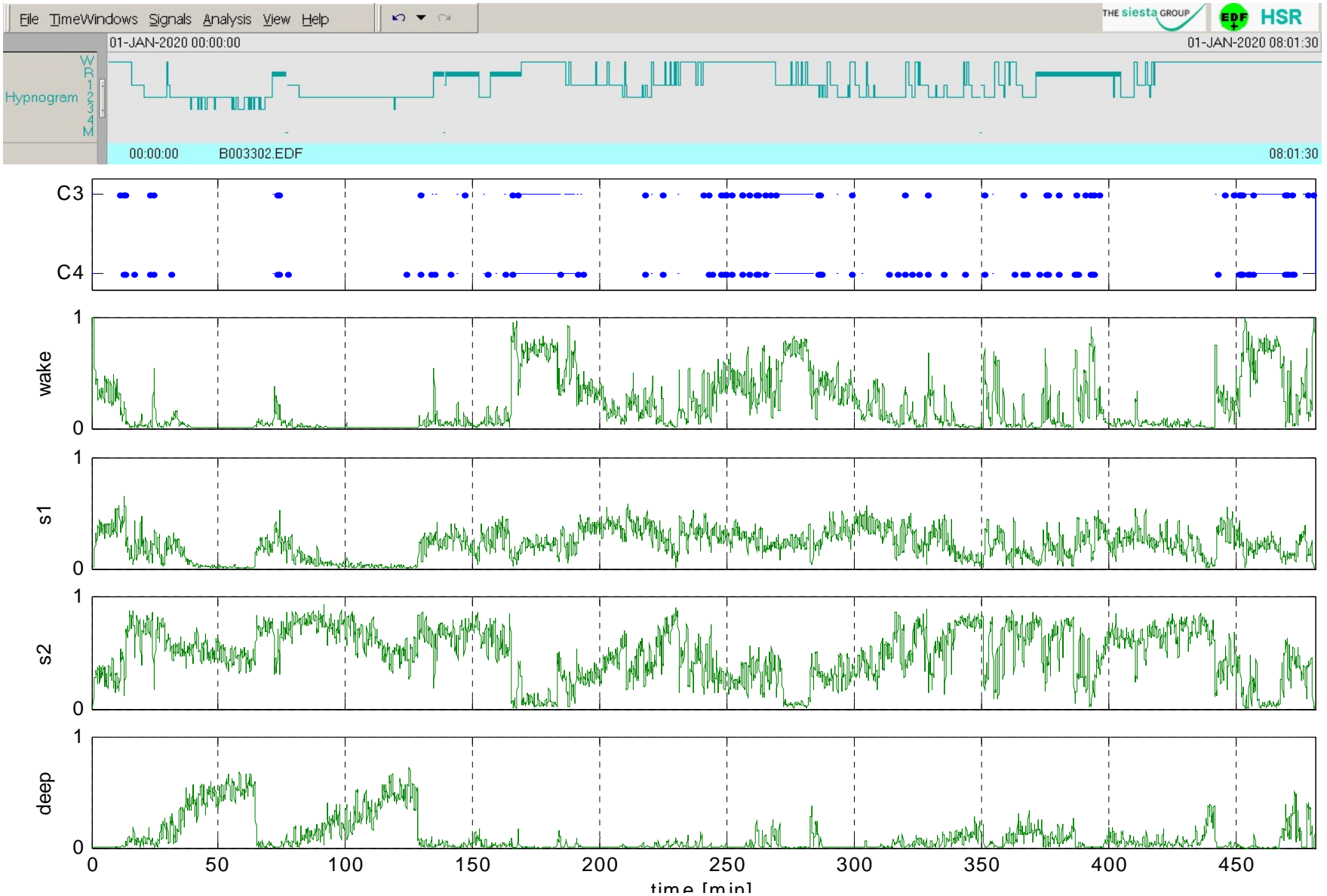
Polysomnographic investigations were analyzed by **Somnolyzer 24x7** according to the standard criteria (**Rechtschaffen & Kales** 1968; ASDA criteria 1992) and by means of a newly developed continuous **hierarchical Gaussian Mixture Model (hGMM)** of the sleep process (SENSATION WP 1.4).

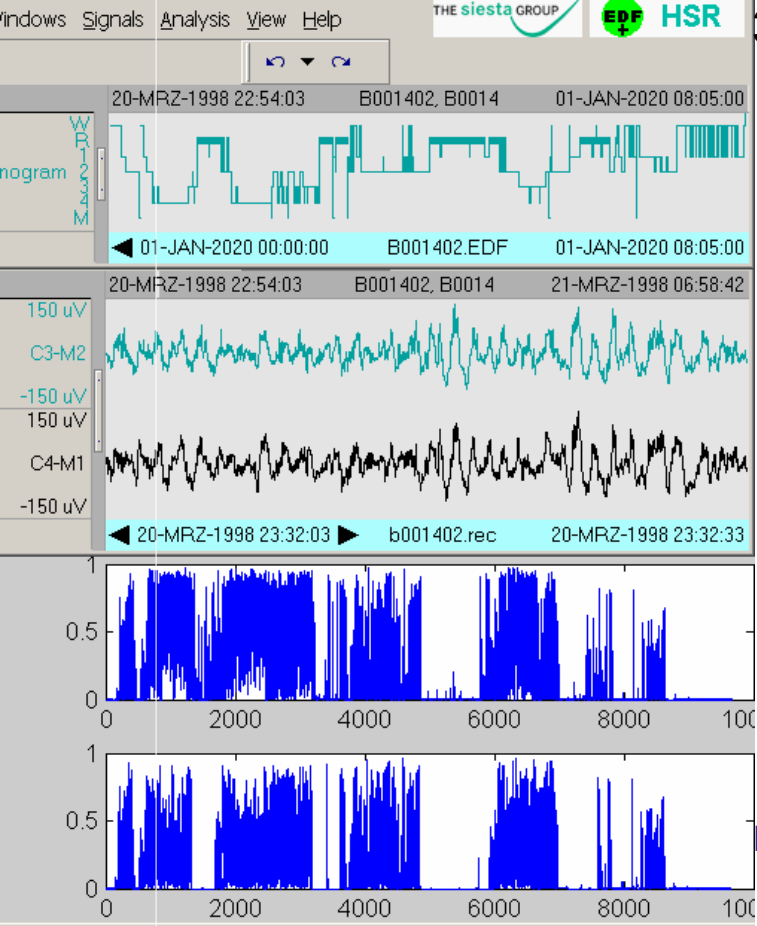
- **Sleep initiation and maintenance** (sleep latency, sleep efficiency, WASO, etc.)
- **Sleep continuity** (number of awakenings, stage shifts and cortical arousals per hour TST, hGMM stage shifts)
- **Sleep architecture** (sleep stages in % of TST; hGMM area under the curve (AUC) or entropy)

# B001402: Male, 34 years



# B003302: Female, 76 years





34 years  
male

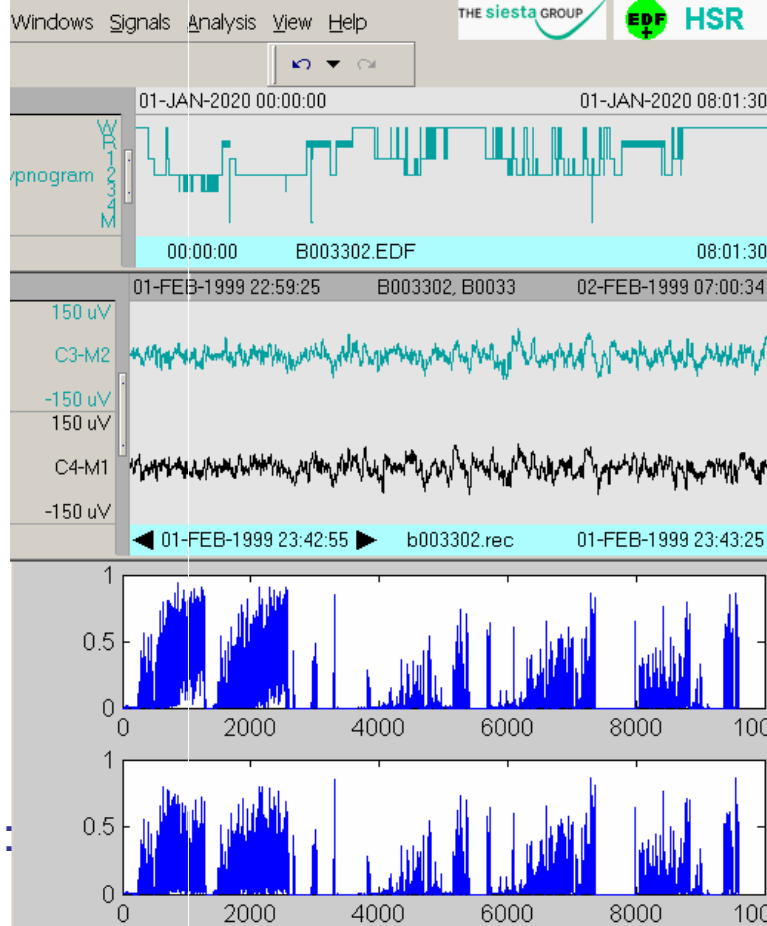
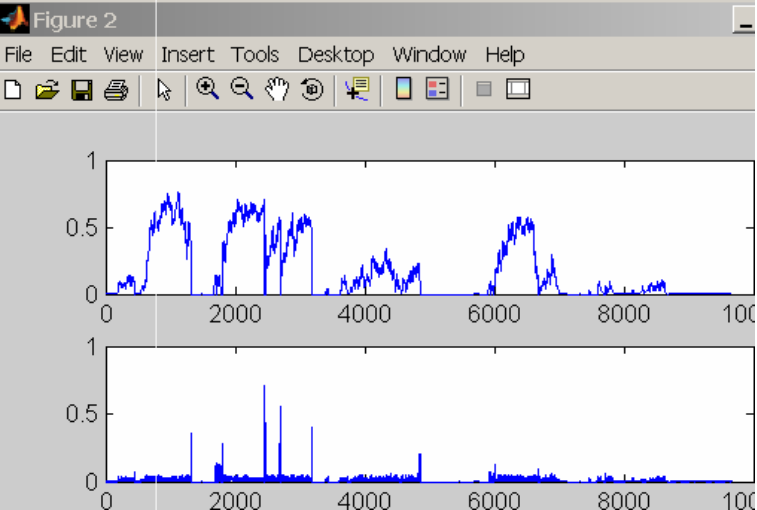
R&K

SWS=  
25.7%

hGMM

rAUC\_d:  
16.6%

rAUC1\_d:  
12.4%



76 years  
female

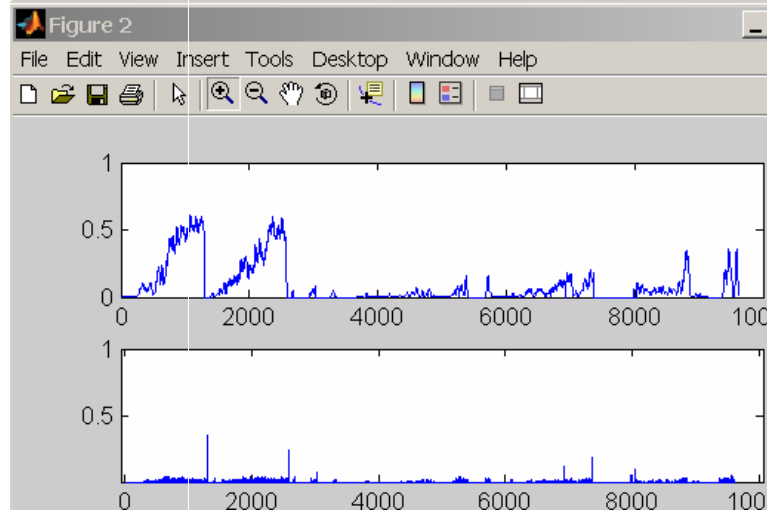
R&K

SWS=  
3.1%

hGMM

rAUC\_d:  
10.4%

rAUC1\_d:  
8.3%





# “Subjective sleep quality” versus “Objective sleep quality”

## SSA-1

## Sleep efficiency

SLEEP QUALITY	no	slightly	moderately	very much
1. Did you sleep well ?				
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3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

Siesta Spot Report™

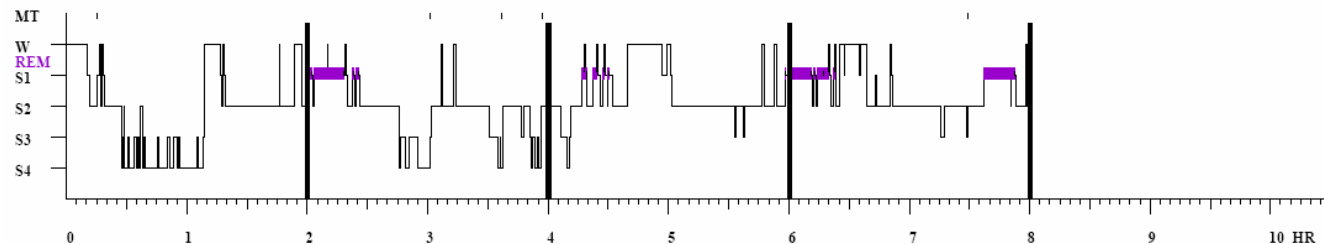
Date: Oct 31, 2005

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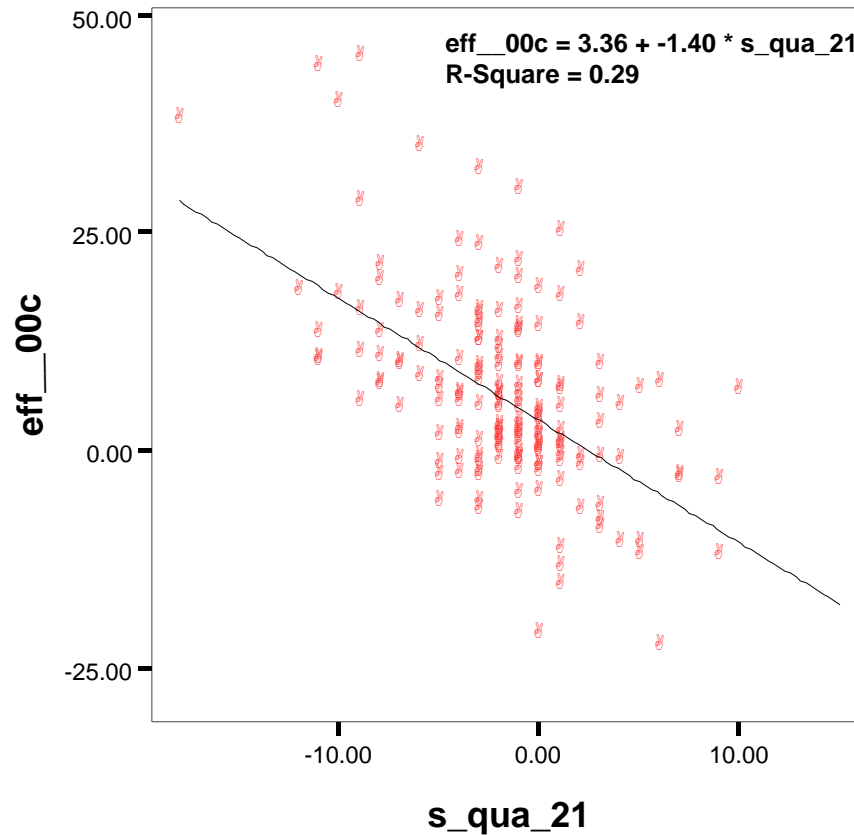
**Patient's ID:** 637aaabe.edf  
**Patient's gender:** female  
**Patient's age:** 66 years  
**First Night:** No

**Controls: SIESTA normative database**  
**Number of controls:** 31 females  
**Controls' age:** 66.9 ± 5.8 years

Analysis by fraction (1/4):



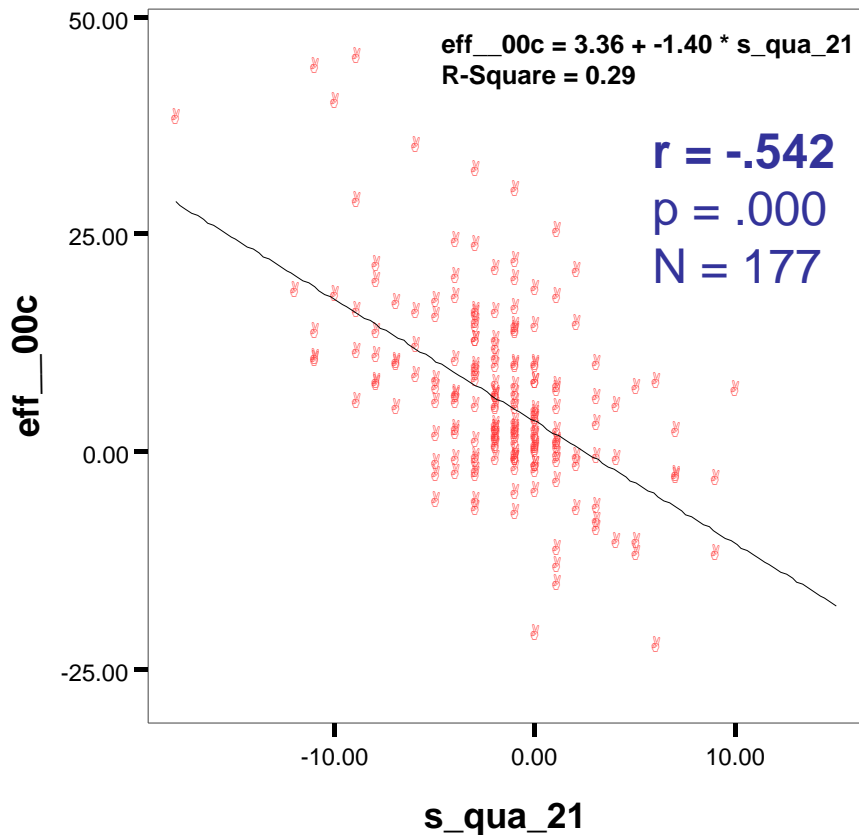
**“Subjective sleep quality” versus “Objective sleep quality”**  
**R&K**  
**SSA-1** **Sleep efficiency (% TIB)**



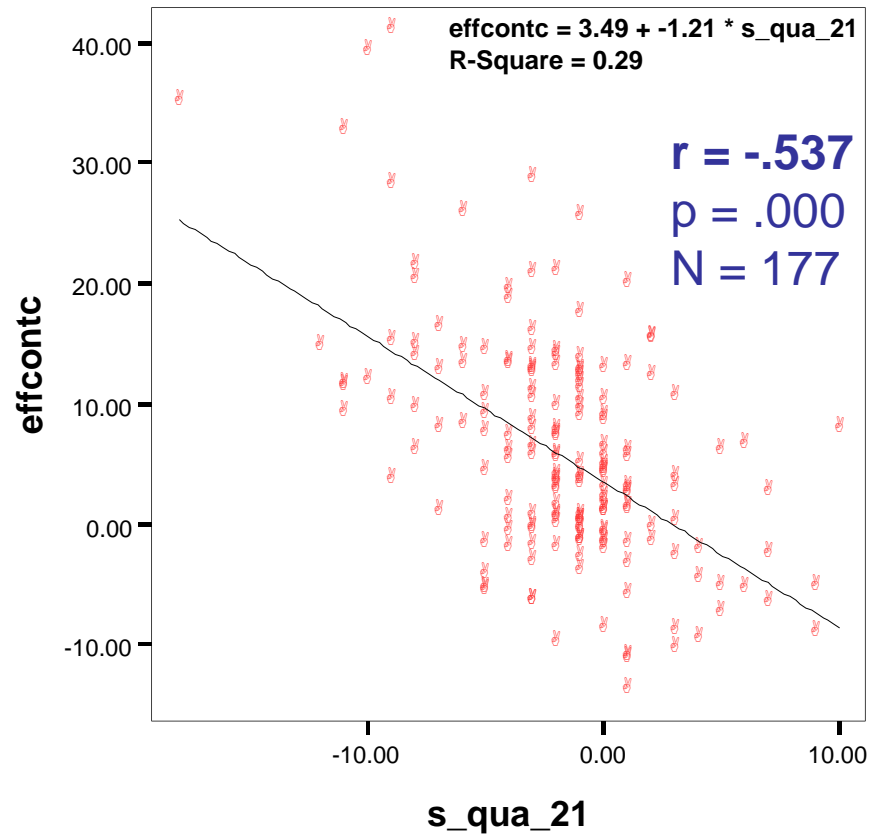
**r = -.542**  
**p = .000**  
**N = 177**

**“Subjective sleep quality” versus “Objective sleep quality”**  
**R&K**  
**SSA-1** **Sleep efficiency (% TIB)**

**R&K**



**hGMM**

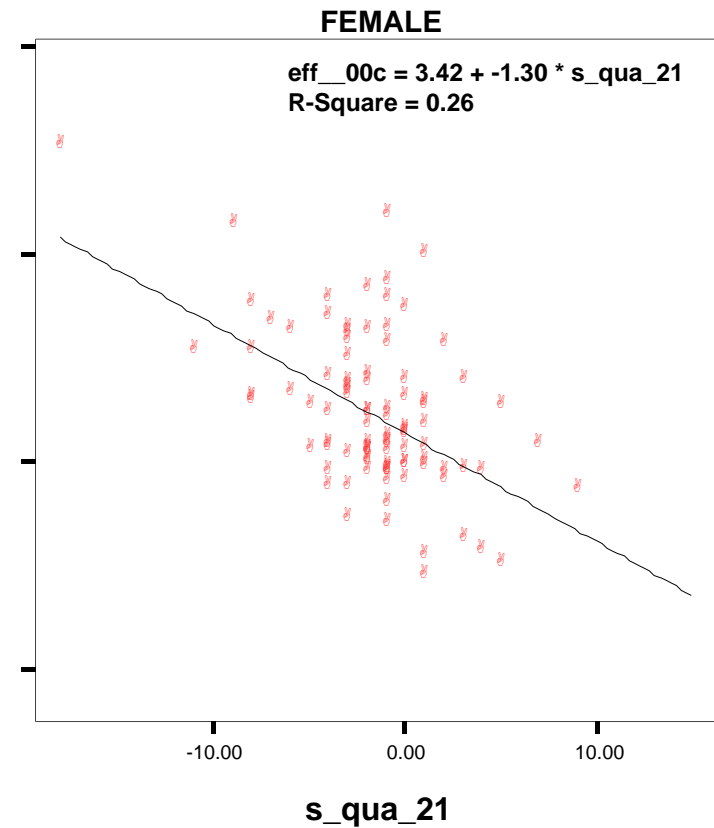
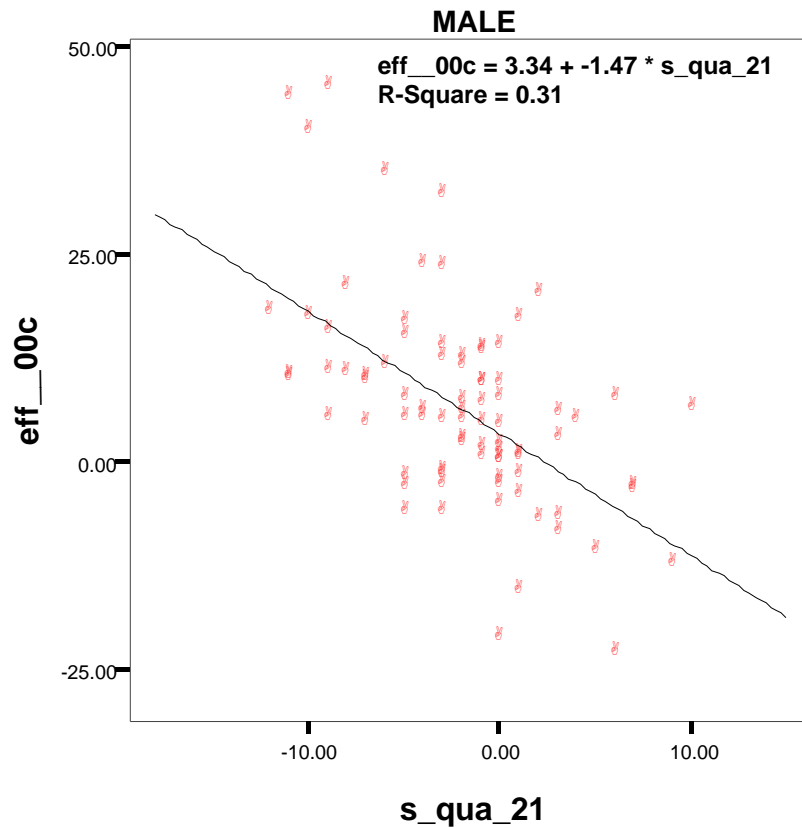


# “Subjective sleep quality” versus “Objective sleep quality”

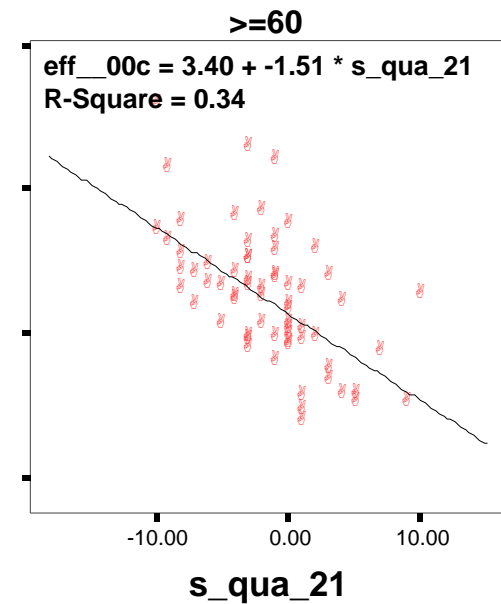
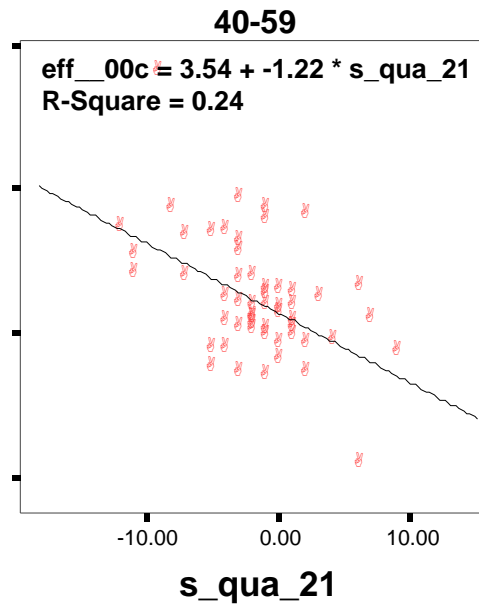
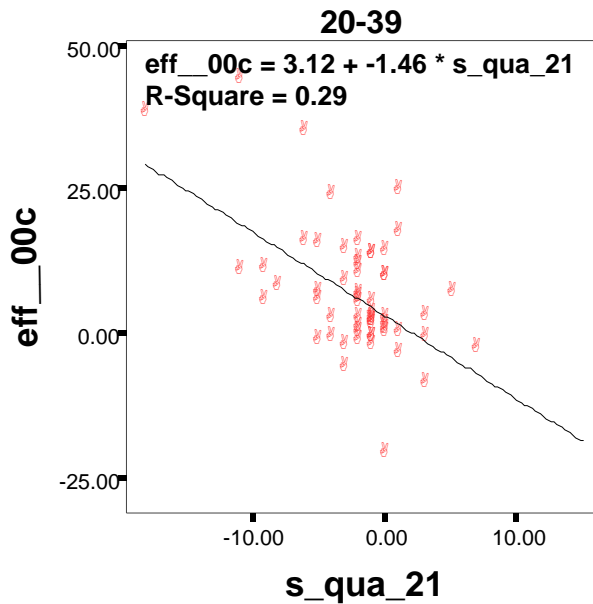
## R&K

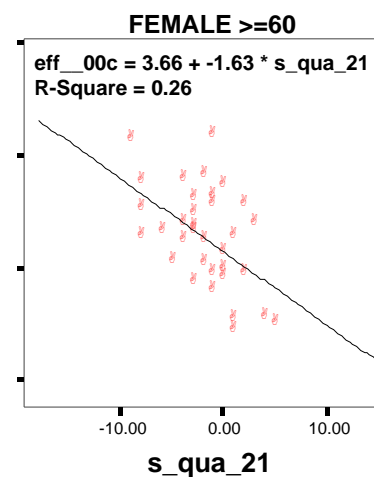
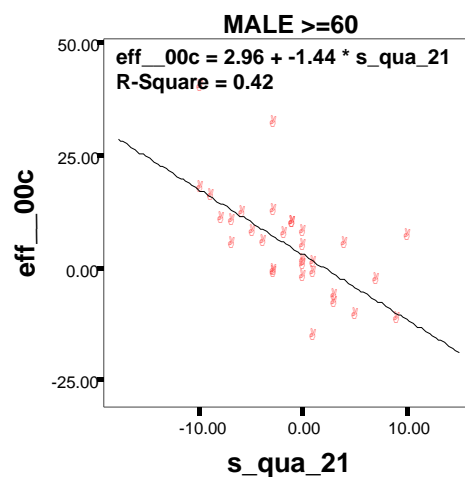
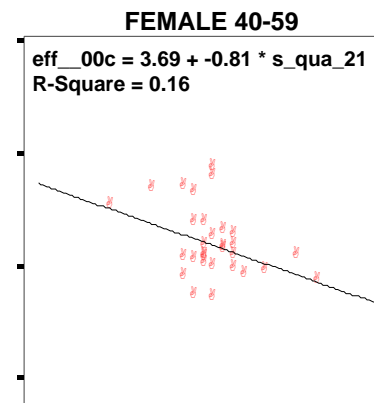
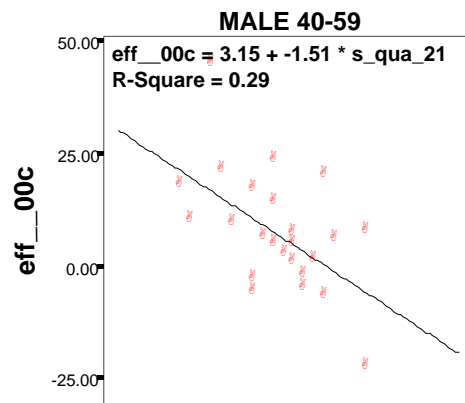
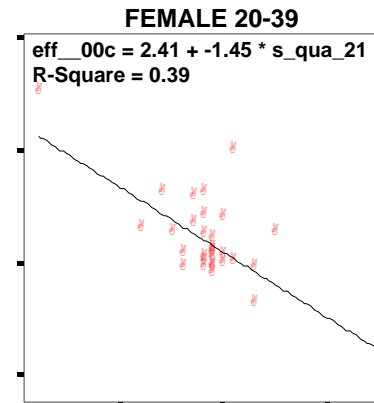
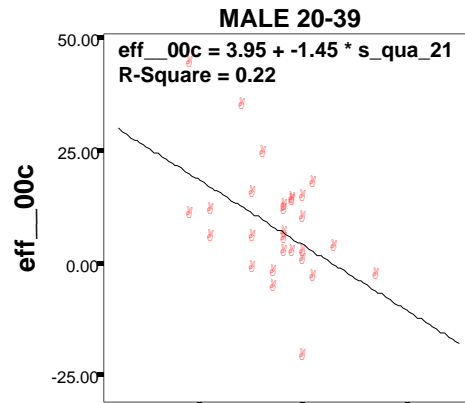
### SSA-1

### Sleep efficiency (% TIB)



# “Subjective sleep quality” versus “Objective sleep quality” R&K SSA-1 Sleep efficiency (% TIB)

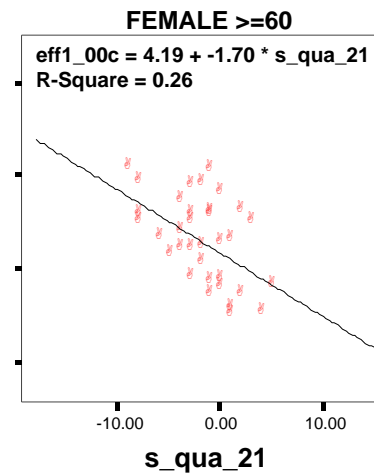
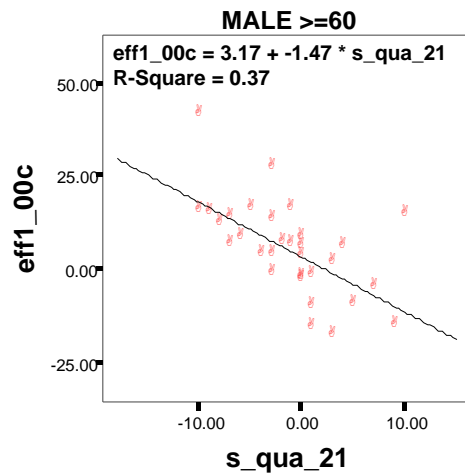
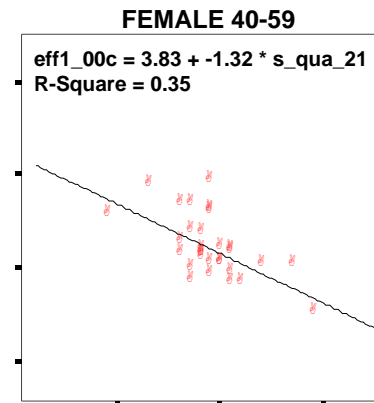
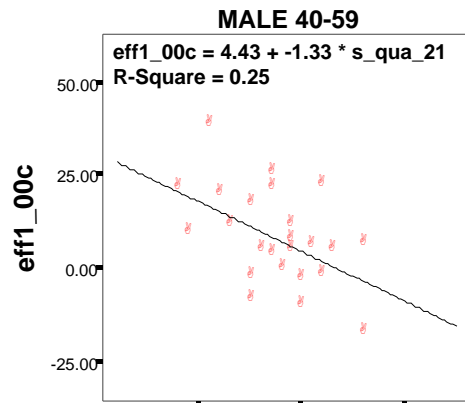
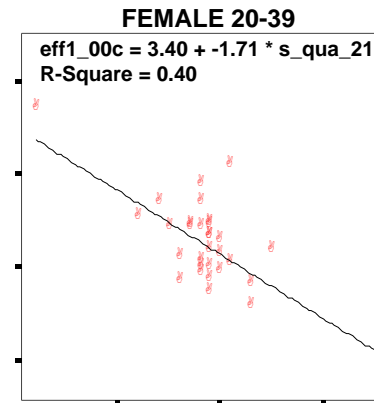
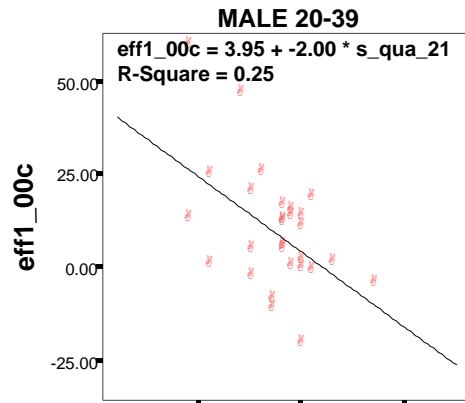




**SSA-1**  
 versus  
**R&K**  
**Sleep**  
**efficiency**  
**(% TIB)**

**Sleep**  
**efficiency =**  
**(100\*TST/TIB)**

**with**  
**TST =**  
**S1+S2+S3+S4+REM**

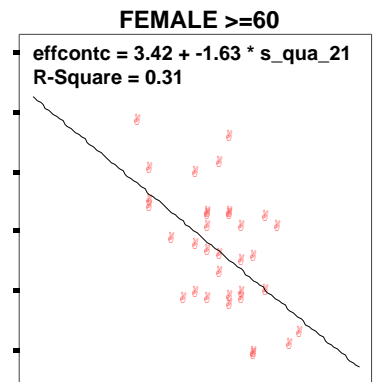
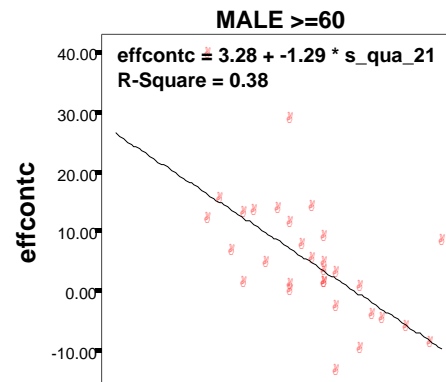
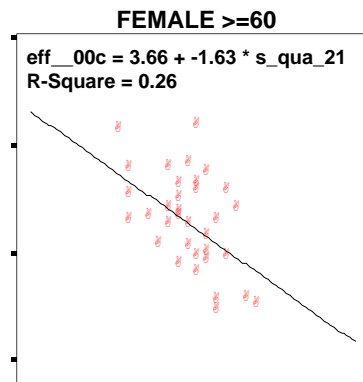
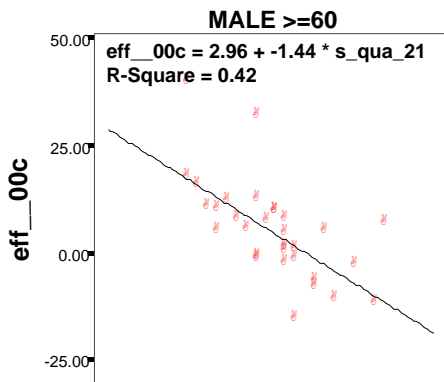
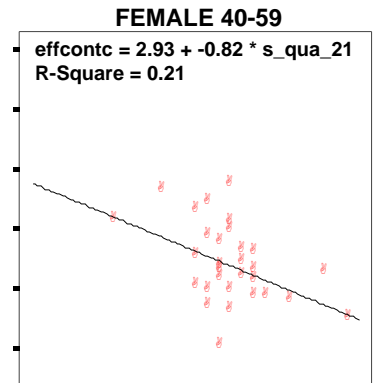
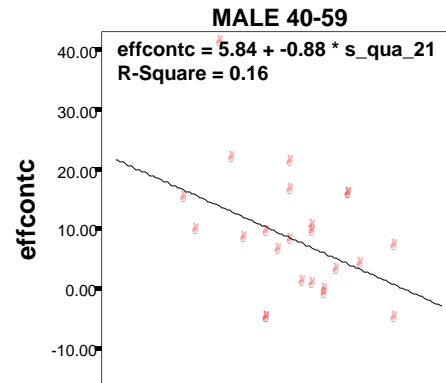
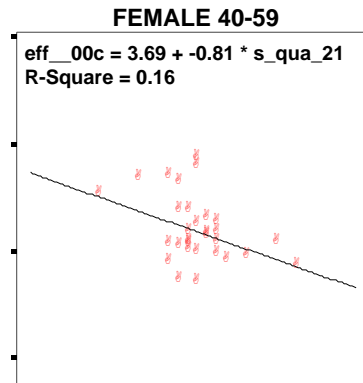
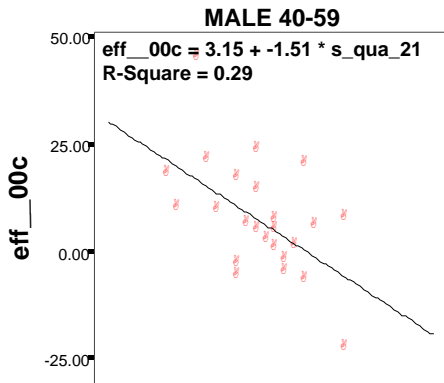
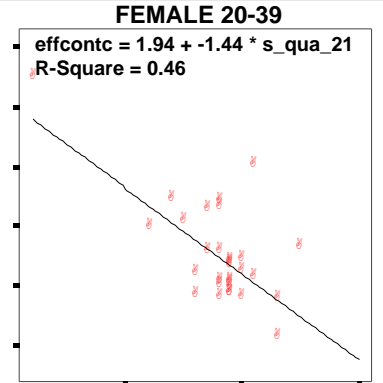
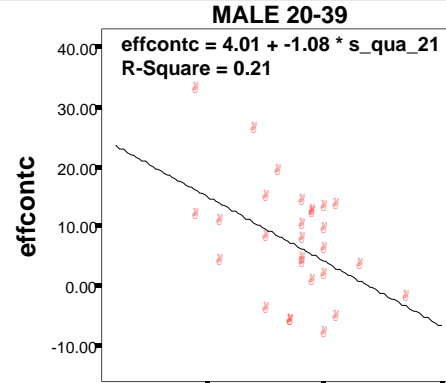
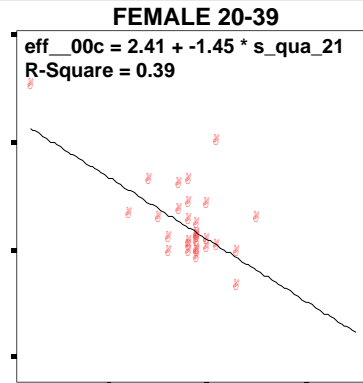
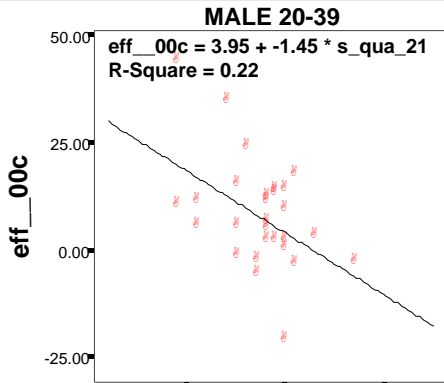


**SSA-1**  
 versus  
**R&K**  
**Sleep**  
**efficiency-1**  
**(% TIB)**

**Sleep**  
**efficiency-1 =**  
**(100\*TST1/TIB)**  
 with  
**TST1 =**  
**S2+S3+S4+REM**

# SSA-1 versus sleep efficiency (% TIB)

## R&K hGMM



s\_qua\_21

s\_qua\_21

s\_qua\_21

s\_qua\_21



# “Subjective sleep quality” versus “Objective sleep quality”

## SSA-1

## Sleep initiation

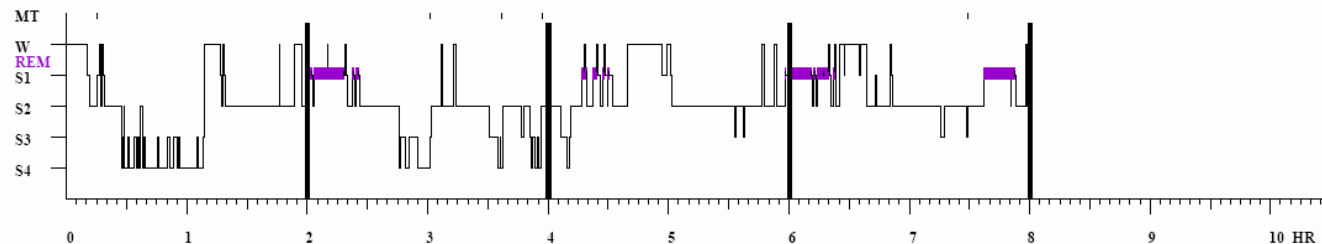
SLEEP QUALITY	no	slightly	moderately	very much
1. Did you sleep well ?				
2. Did you have deep sleep?				
3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

**Patient's ID:** 637aaabe.edf  
**Patient's gender:** female  
**Patient's age:** 66 years  
**First Night:** No

**Controls: SIESTA normative database**  
**Number of controls:** 31 females  
**Controls' age:** 66.9 ± 5.8 years

### Analysis by fraction (1/4):



**“Subjective sleep quality” versus “Objective sleep quality”**

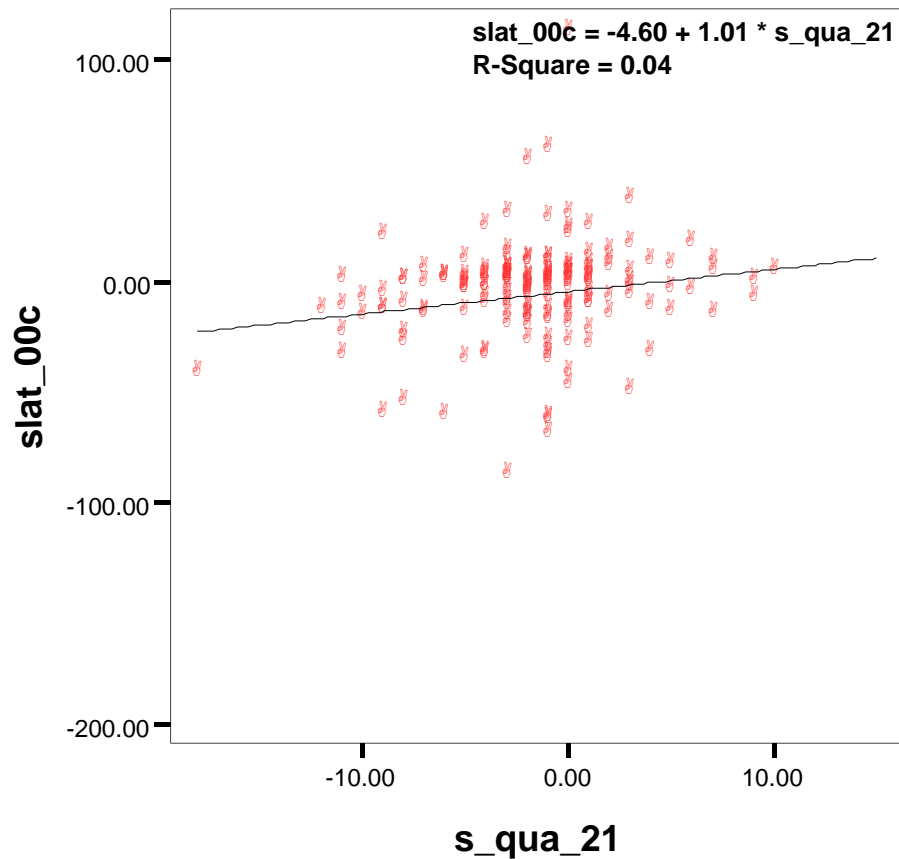
**R&K**

**SSA-1**

**Sleep initiation**

	Latency from „lights out“ to					
SSA-1	Sleep onset	10 min cont. sleep	S1	<b>S2</b>	SWS	REM
r	.194	.200	.217	<b>.252</b>	.245	.171
p	.010	.008	.004	<b>.001</b>	.001	.023
N	177	177	177	<b>177</b>	.169	177

**“Subjective sleep quality” versus “Objective sleep quality”**  
**R&K**  
**SSA-1** **Sleep latency (min)**

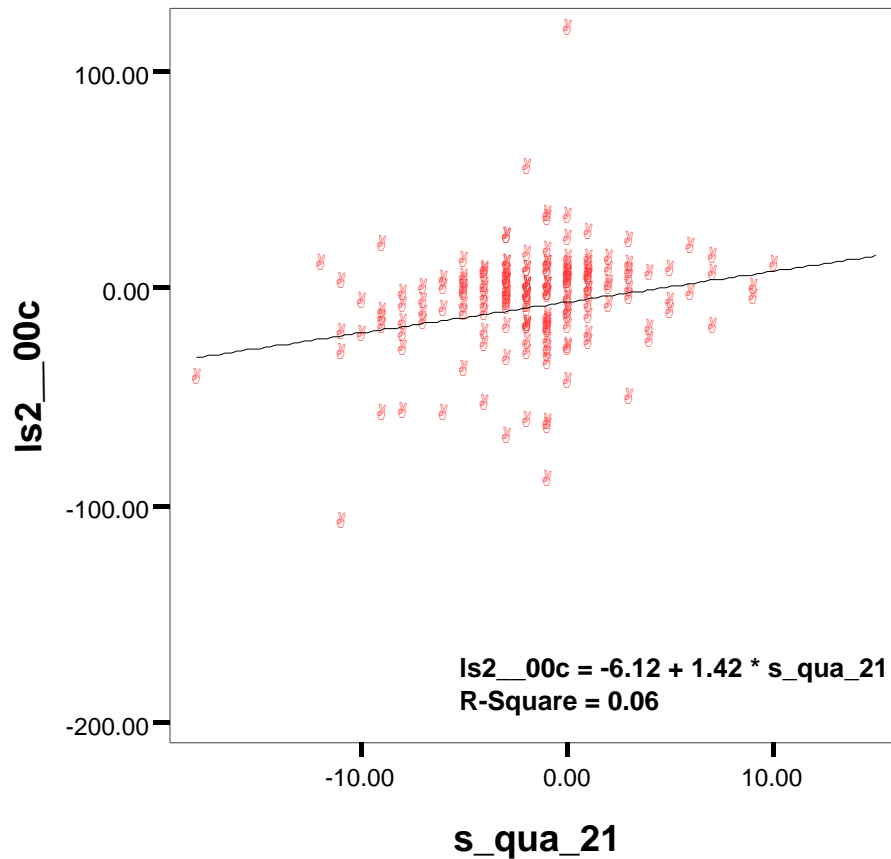


# “Subjective sleep quality” versus “Objective sleep quality”

## R&K

### SSA-1

### Latency to S2 (min)



# “Subjective sleep quality” versus “Objective sleep quality”

## SSA-1

## Sleep maintenance

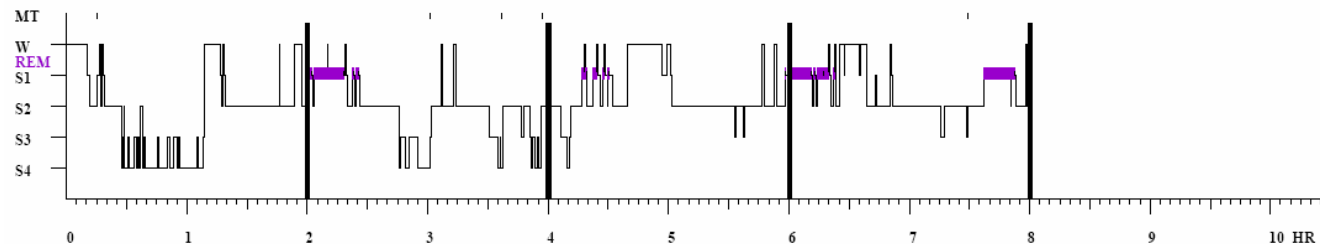
SLEEP QUALITY	no	slightly	moderately	very much
1. Did you sleep well ?				
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3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

**Patient's ID:** 637aaabe.edf  
**Patient's gender:** female  
**Patient's age:** 66 years  
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**Controls: SIESTA normative database**  
**Number of controls:** 31 females  
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### Analysis by fraction (1/4):



**“Subjective sleep quality” versus “Objective sleep quality”**

**R&K**

**SSA-1**

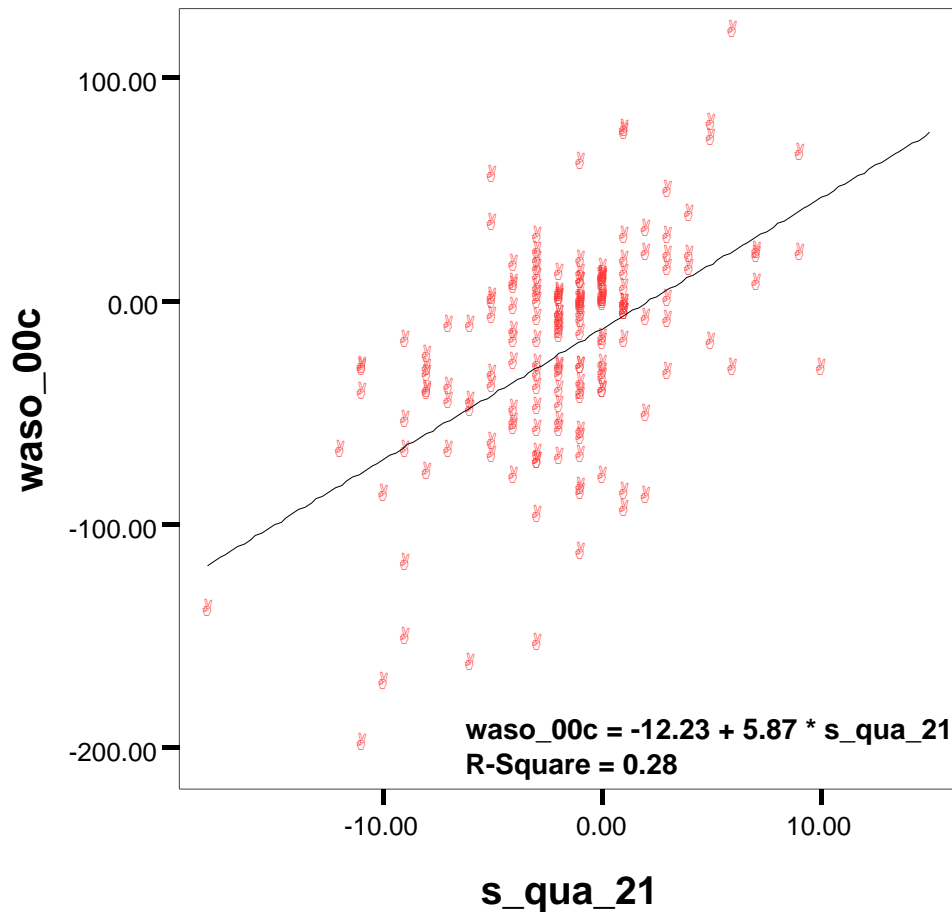
**Sleep maintenance**

SSA-1	<b>Wake after sleep onset</b>	Wake within TSP	Wake after final awakening
r	<b>.528</b>	.505	.211
p	<b>.000</b>	.000	.005
N	<b>177</b>	177	177

# “Subjective sleep quality” versus “Objective sleep quality” R&K

SSA-1

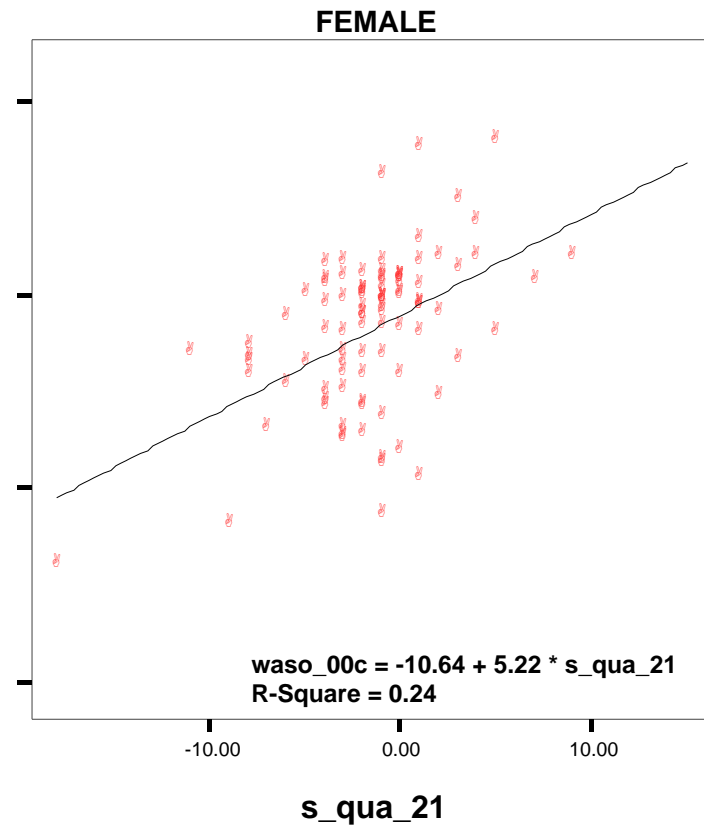
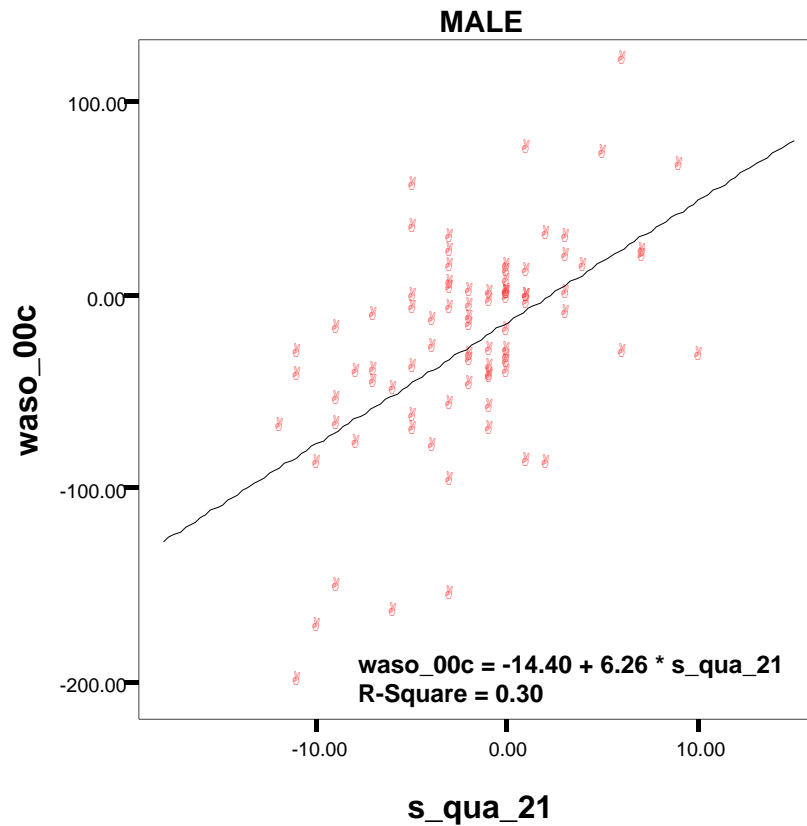
Wake after sleep onset (min)



# “Subjective sleep quality” versus “Objective sleep quality” R&K

## SSA-1

## Wake after sleep onset (min)

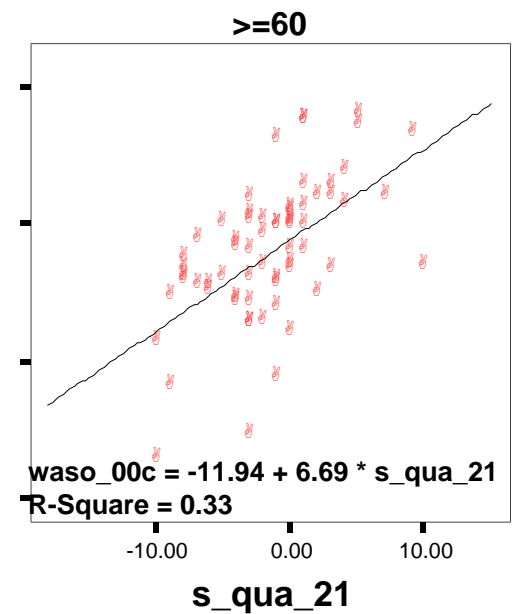
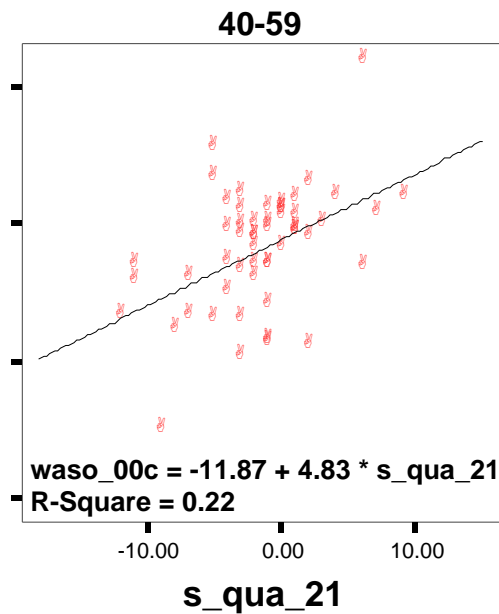
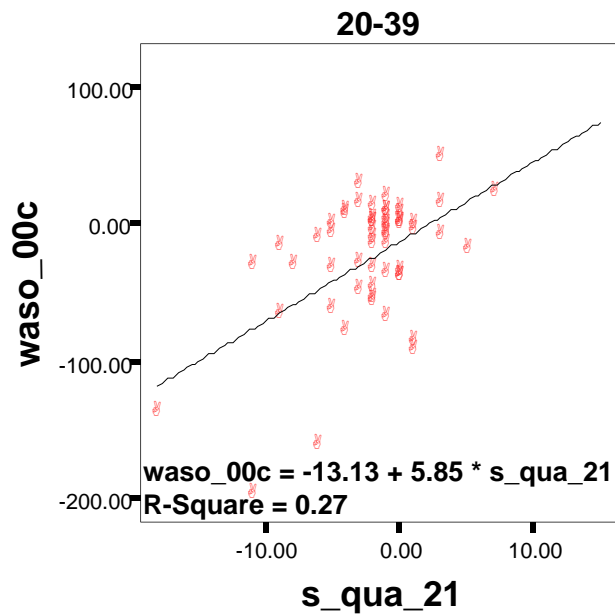


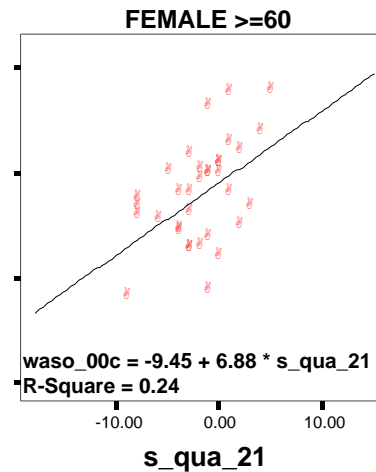
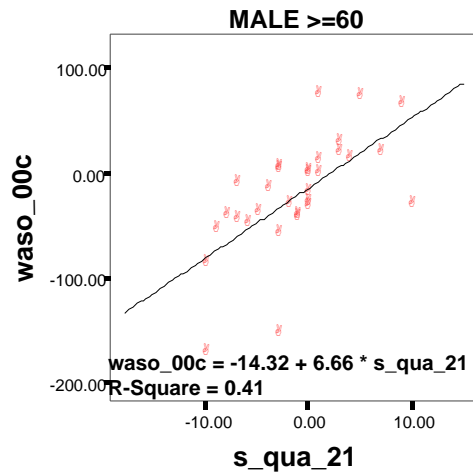
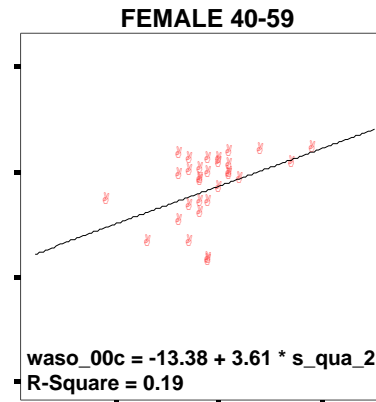
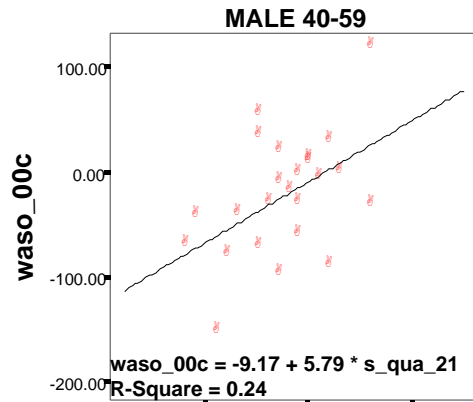
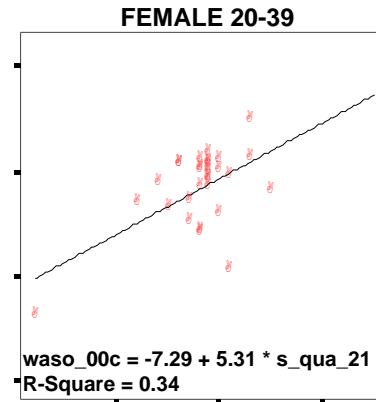
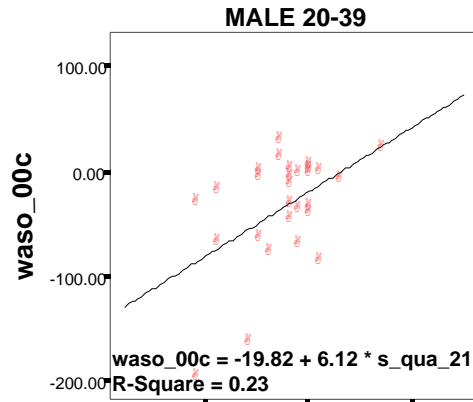


# “Subjective sleep quality” versus “Objective sleep quality” R&K

## SSA-1

## Wake after sleep onset (min)





**SSA-1**

versus

**R&K**

**Wake after**

**sleep onset**

**(min)**

# “Subjective sleep quality” versus “Objective sleep quality”

## SSA-1

## Sleep continuity

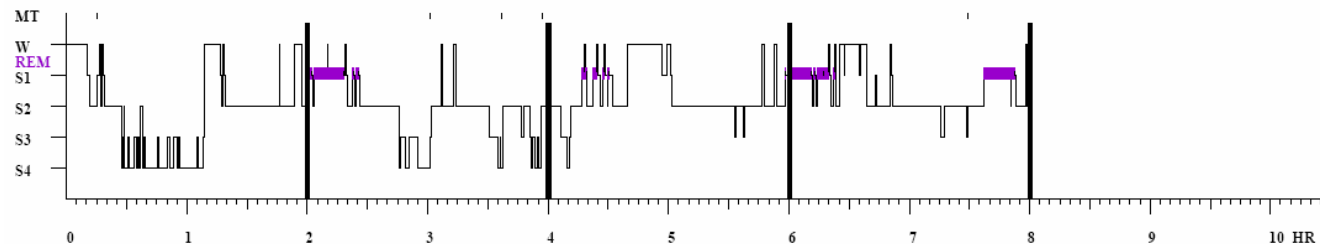
SLEEP QUALITY	no	slightly	moderately	very much
1. Did you sleep well ?				
2. Did you have deep sleep?				
3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

**Patient's ID:** 637aaabe.edf  
**Patient's gender:** female  
**Patient's age:** 66 years  
**First Night:** No

**Controls: SIESTA normative database**  
**Number of controls:** 31 females  
**Controls' age:** 66.9 ± 5.8 years

### Analysis by fraction (1/4):



**“Subjective sleep quality” versus “Objective sleep quality”**

**R&K - ASDA**

**SSA-1**

**Sleep continuity**

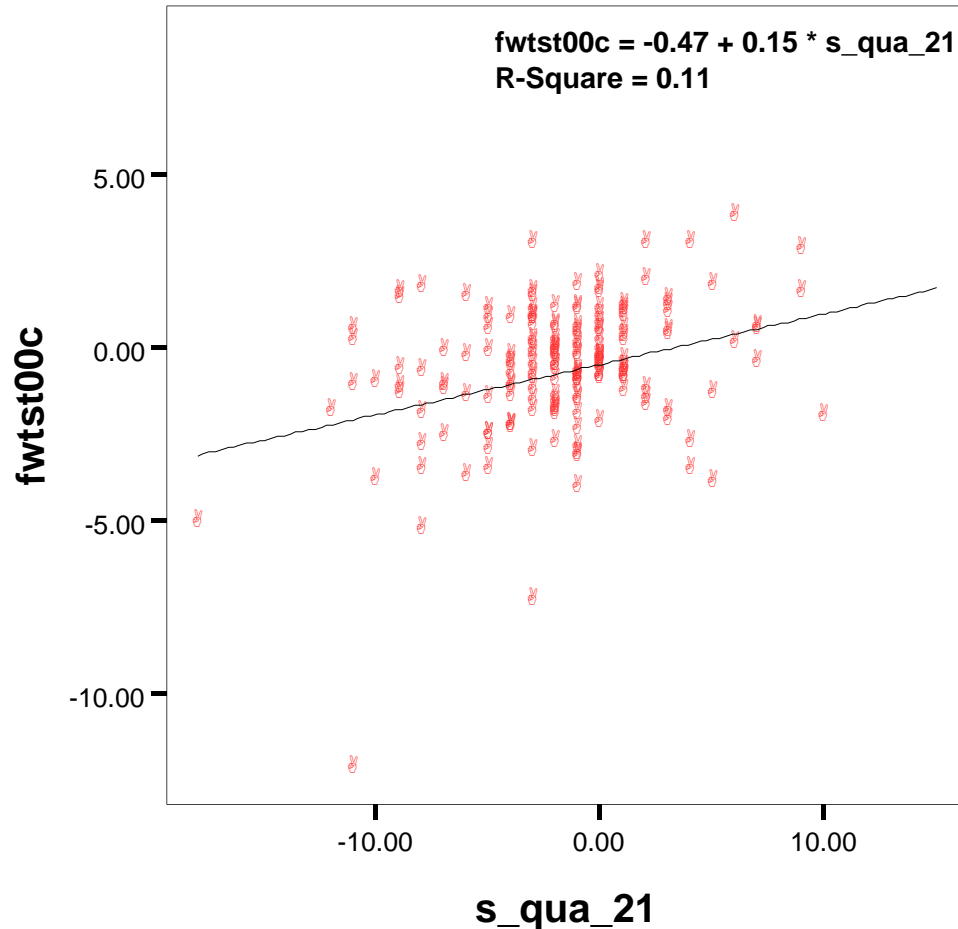
SSA-1	Number of awakenings	<b>Number of awakenings per hr</b>	Number of stage shifts	Number of stage shifts per hr	Number of arousals per hr
r	.200	<b>.328</b>	-.118	.186	.007
p	.006	<b>.000</b>	.118	.013	.931
N	177	<b>177</b>	177	177	.169

# “Subjective sleep quality” versus “Objective sleep quality”

R&K

SSA-1

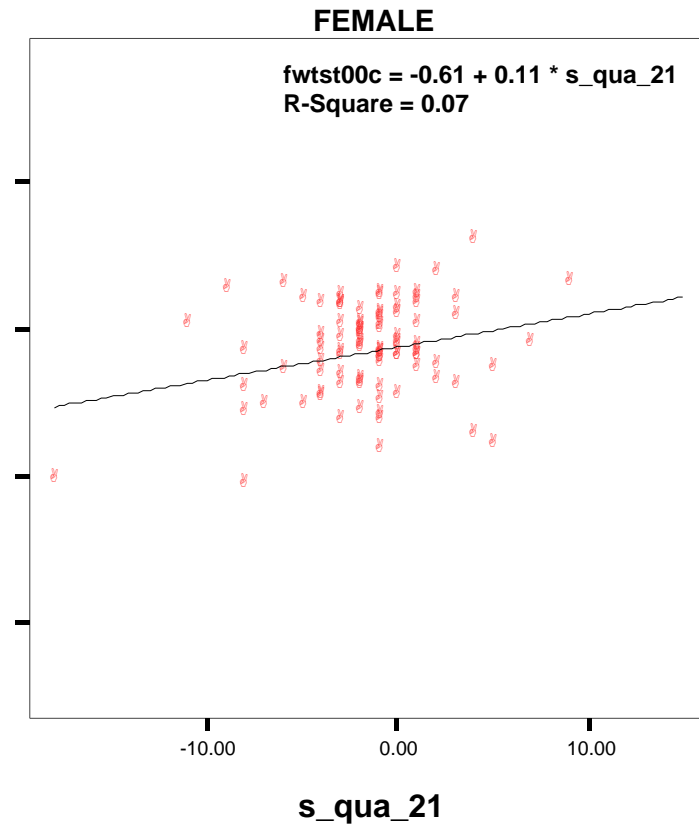
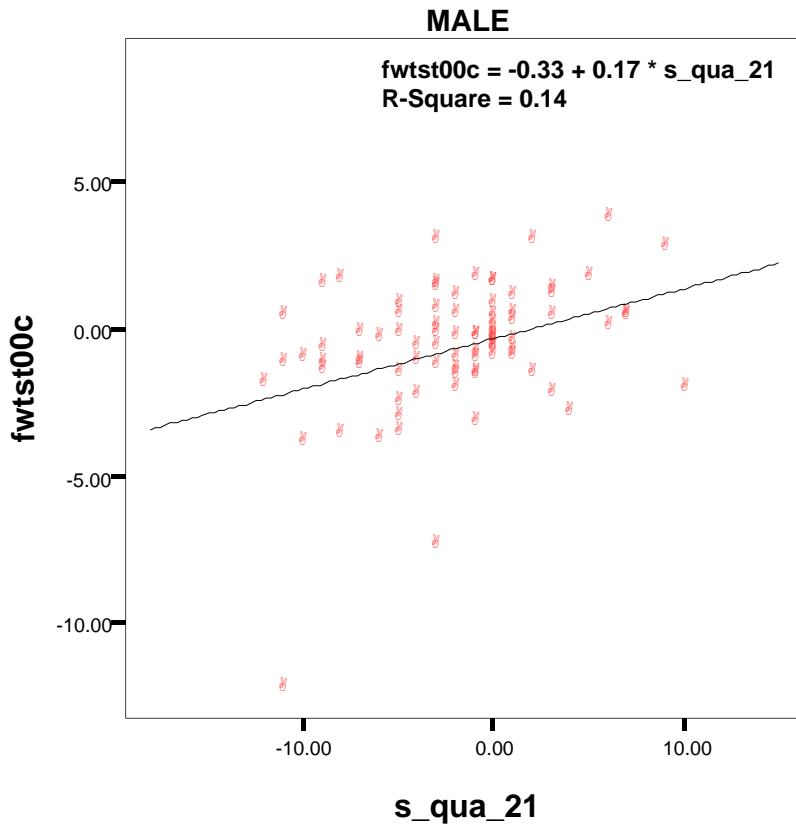
Number of awakenings (/hr TST)



# “Subjective sleep quality” versus “Objective sleep quality” R&K

## SSA-1

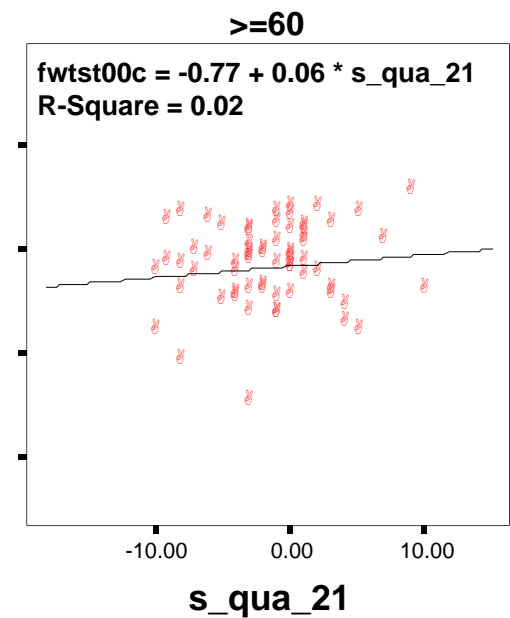
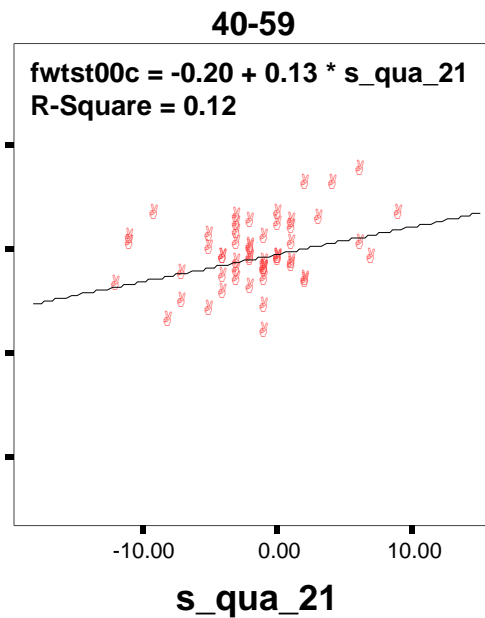
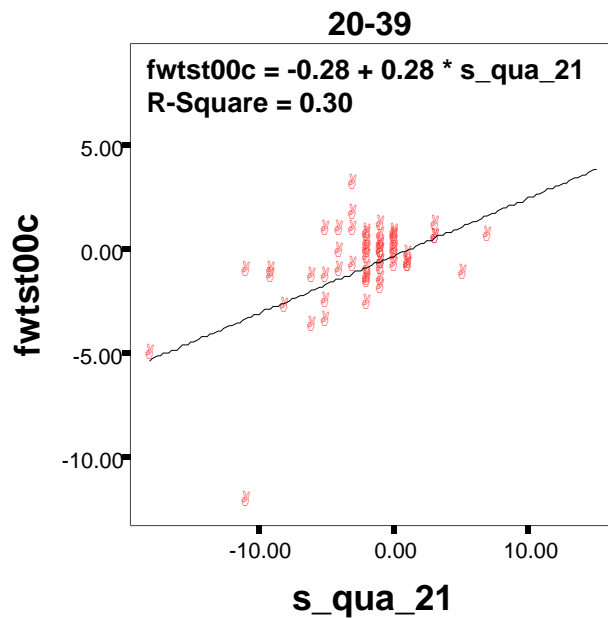
## Number of awakenings (/hr TST)

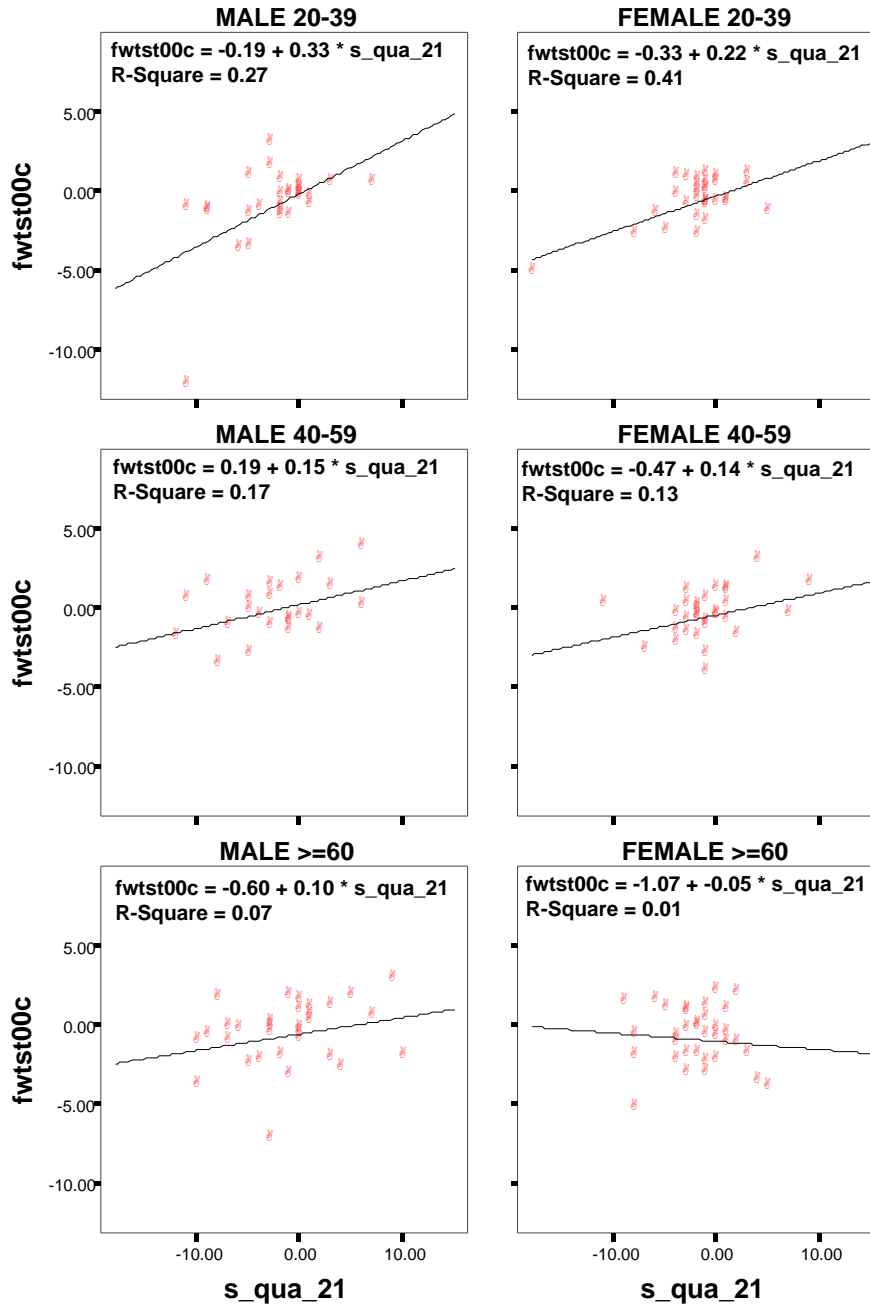


# “Subjective sleep quality” versus “Objective sleep quality” R&K

## SSA-1

## Number of awakenings (/hr TST)





**SSA-1**

versus

**R&K**

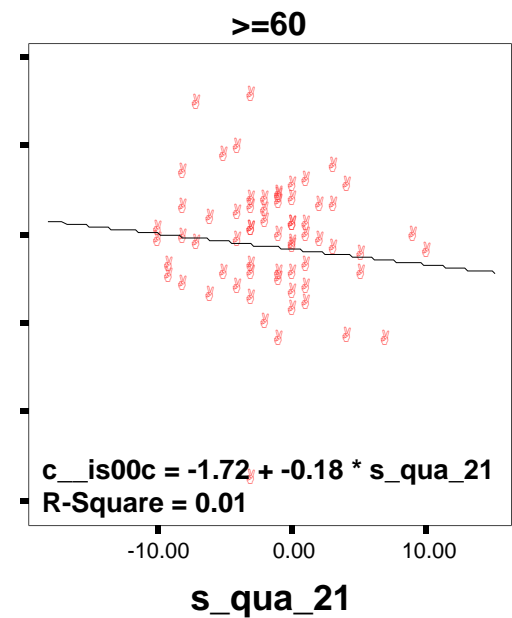
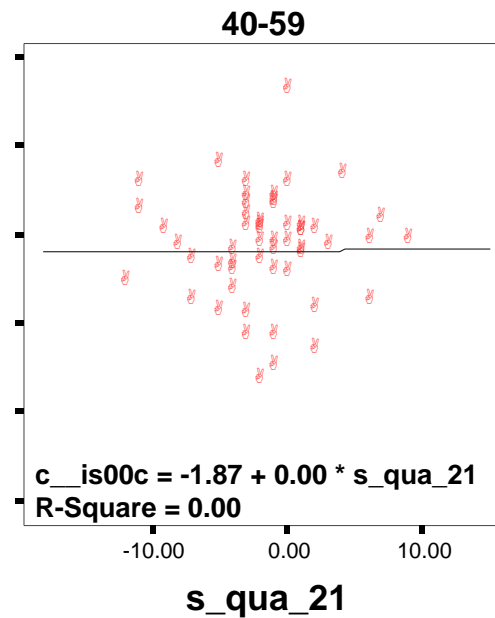
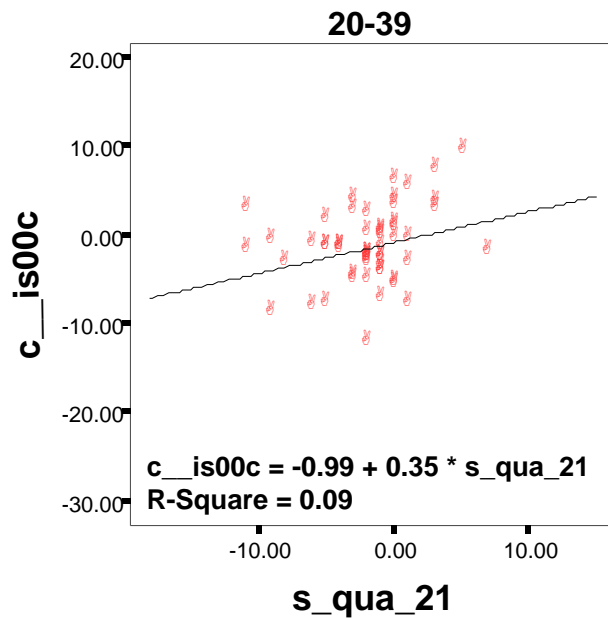
**Number of awakenings (/hr TST)**



# “Subjective sleep quality” versus “Objective sleep quality”

## ASDA

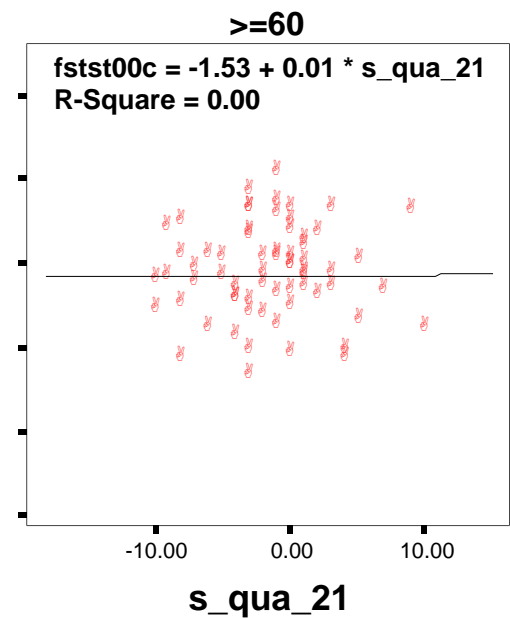
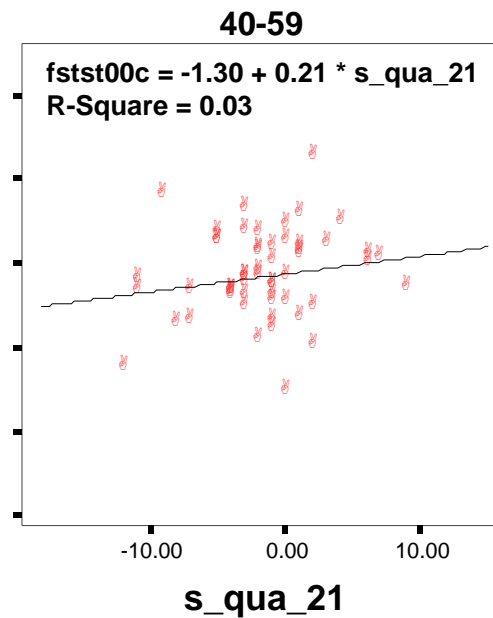
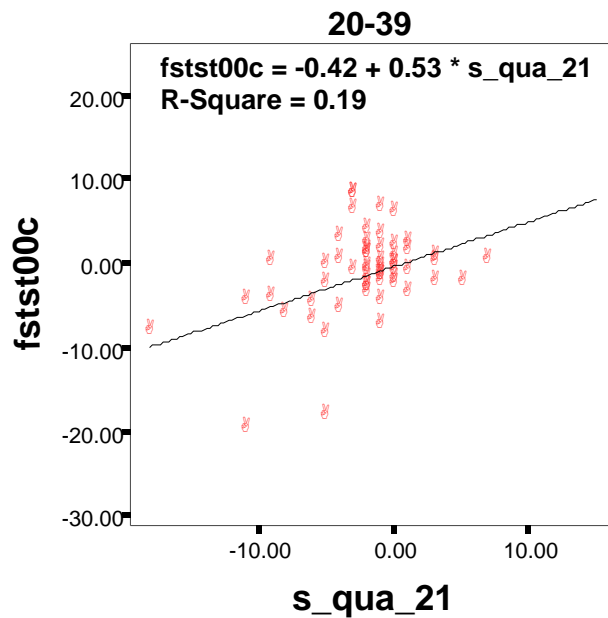
**SSA-1**                      **Number of arousals (/hr TST)**



# “Subjective sleep quality” versus “Objective sleep quality” R&K

## SSA-1

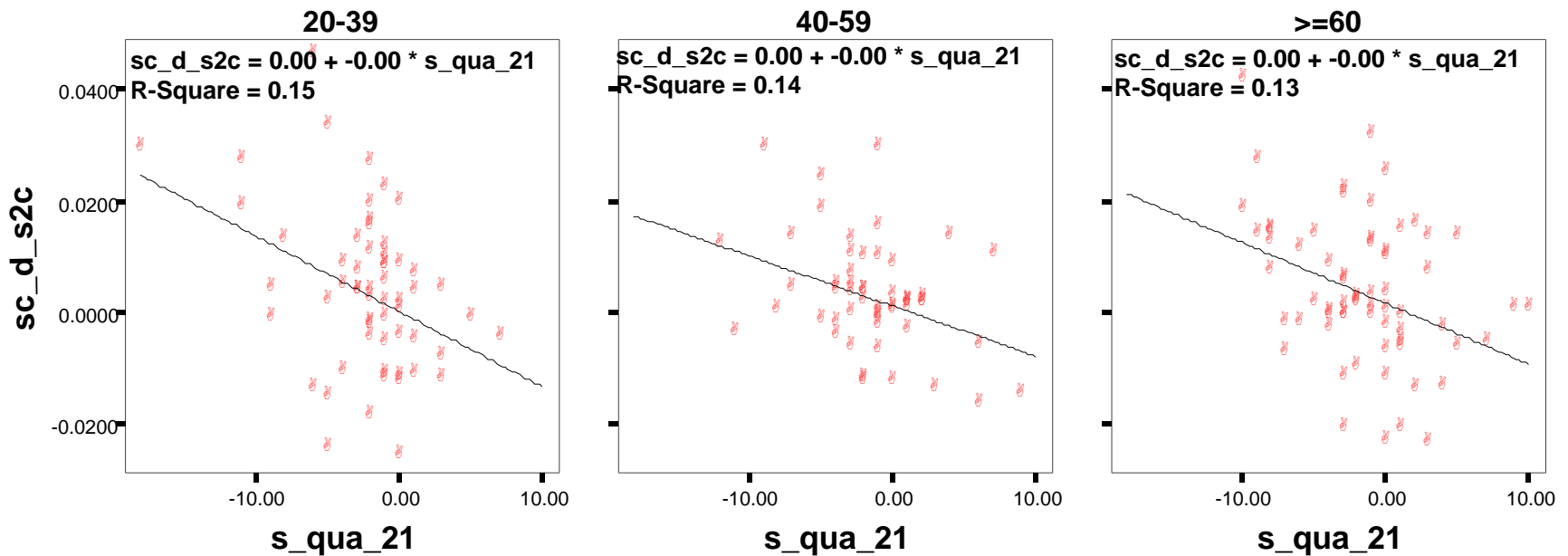
## Number of stage shifts (/hr TST)



# “Subjective sleep quality” versus “Objective sleep quality” hGMM

SSA-1

Number of stage shifts “deep – S2”



# “Subjective sleep quality” versus “Objective sleep quality”

## SSA-1

## Sleep architecture

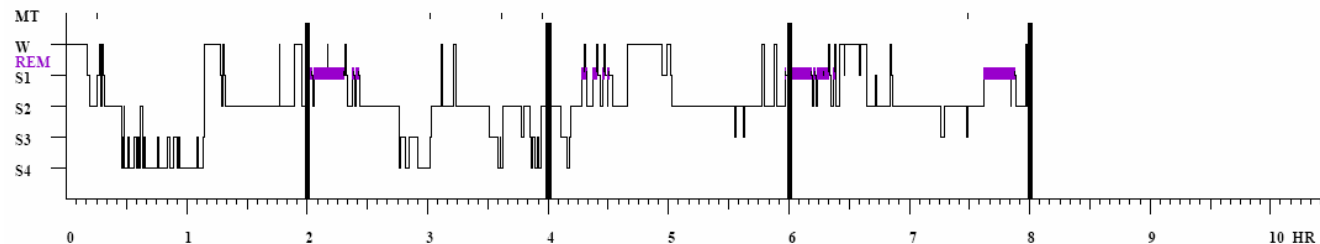
SLEEP QUALITY	no	slightly	moderately	very much
1. Did you sleep well ?				
2. Did you have deep sleep?				
3. Did you have difficulties in falling asleep?				
4. Did you have difficulties in staying asleep?				
5. Did you have bad dreams?				
6. Did you have difficulties getting back to sleep?				
7. Did you wake up earlier than usual?				

Subscore 1: \_\_\_\_\_

**Patient's ID:** 637aaabe.edf  
**Patient's gender:** female  
**Patient's age:** 66 years  
**First Night:** No

**Controls: SIESTA normative database**  
**Number of controls:** 31 females  
**Controls' age:** 66.9 ± 5.8 years

### Analysis by fraction (1/4):



**“Subjective sleep quality” versus “Objective sleep quality”**

**R&K**

**SSA-1**

**Sleep architecture**

	Total Night – Stage in % of TST			
SSA-1	S1	S2	SWS	REM
r	<b>.327</b>	-.126	-.036	-.097
p	<b>.000</b>	.096	.631	.200
N	<b>177</b>	177	177	177

**“Subjective sleep quality” versus “Objective sleep quality”**

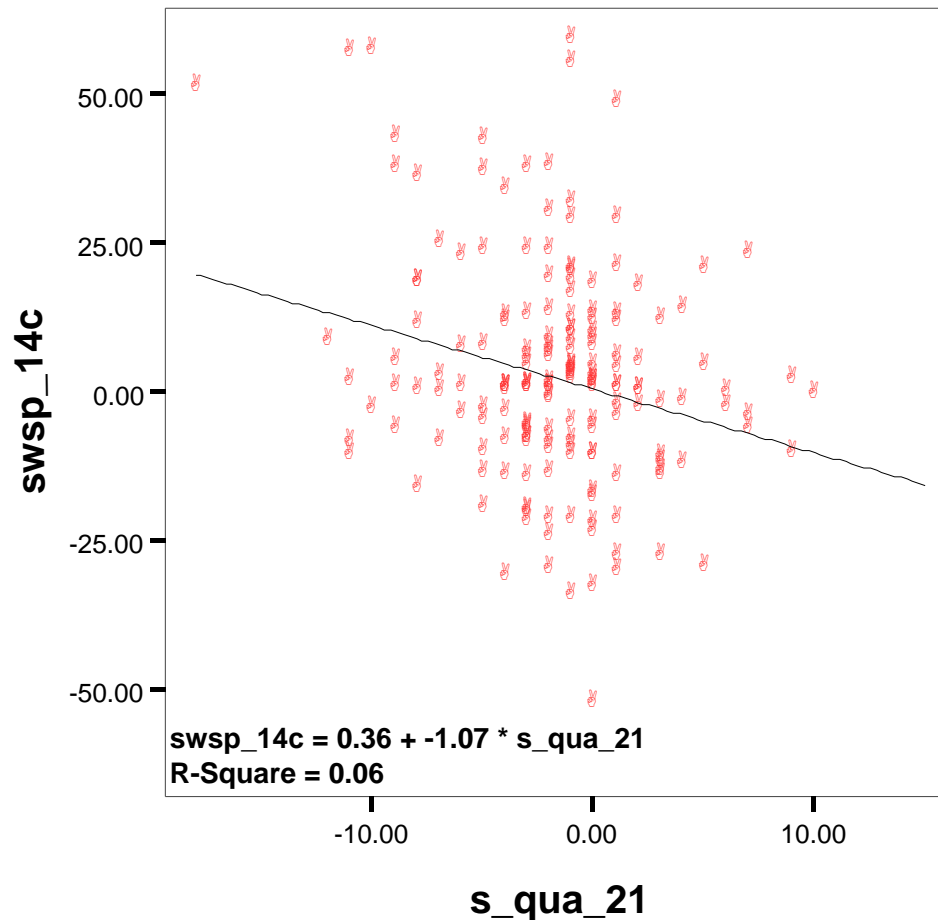
**R&K**

**SSA-1**

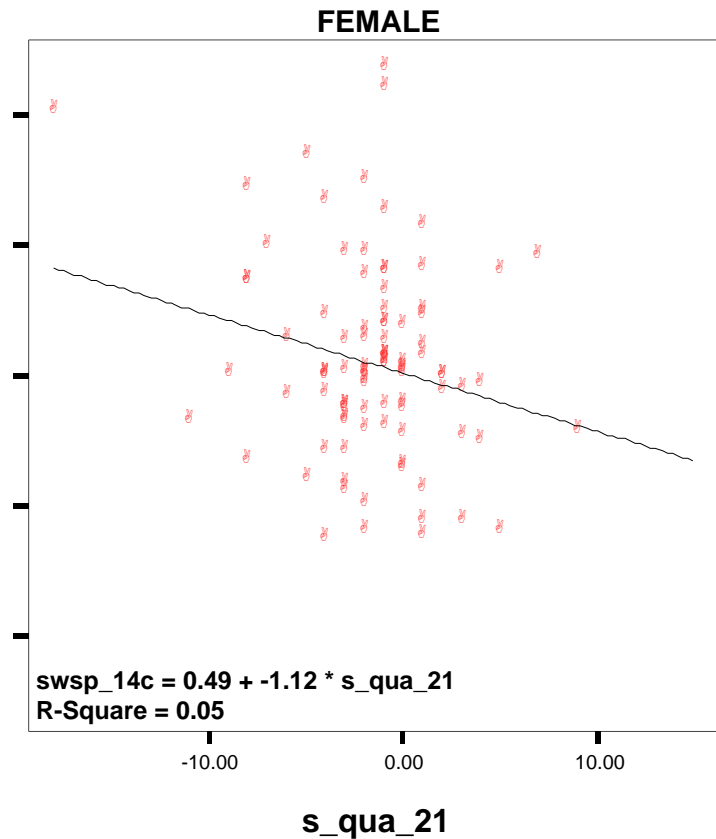
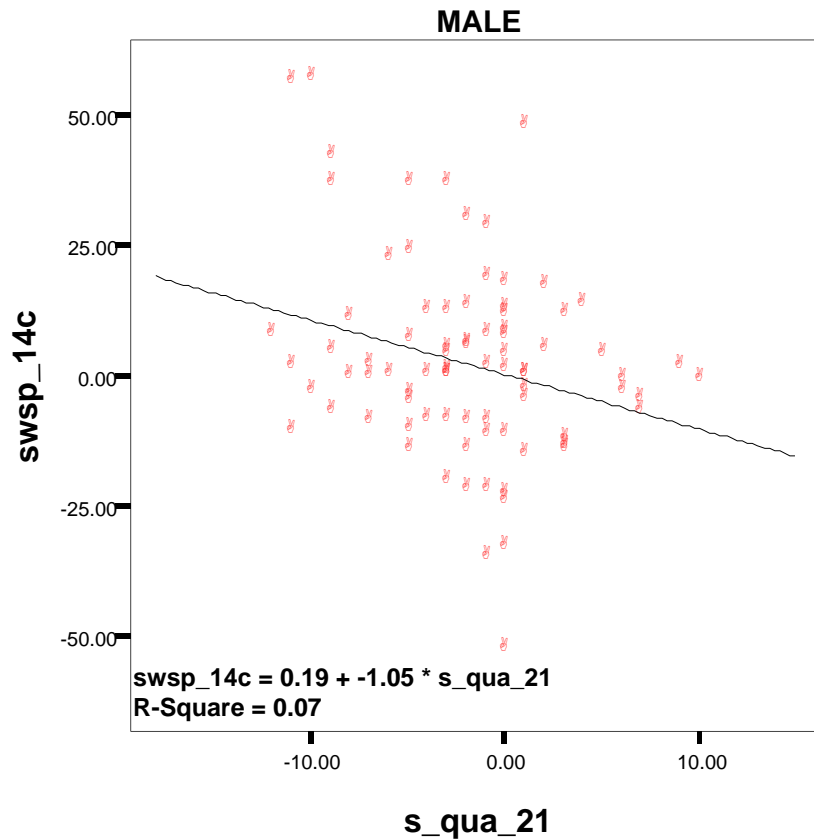
**Sleep architecture**

	1 <sup>st</sup> Quarter of the Night – Stage in % of TST			
SSA-1	S1	S2	<b>SWS</b>	REM
r	.284	-.030	<b>-.240</b>	-.071
p	.000	.688	<b>.001</b>	.350
N	177	177	<b>177</b>	177

**“Subjective sleep quality” versus “Objective sleep quality”**  
R&K: 1<sup>st</sup> quarter of the night  
**SSA-1**  
**Slow-wave sleep (% TST)**

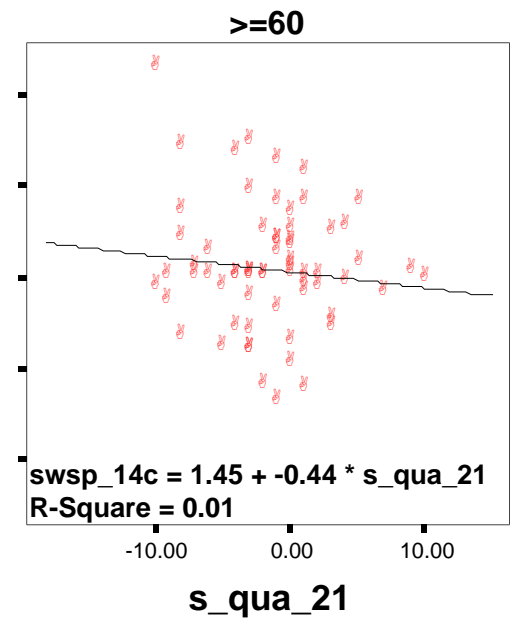
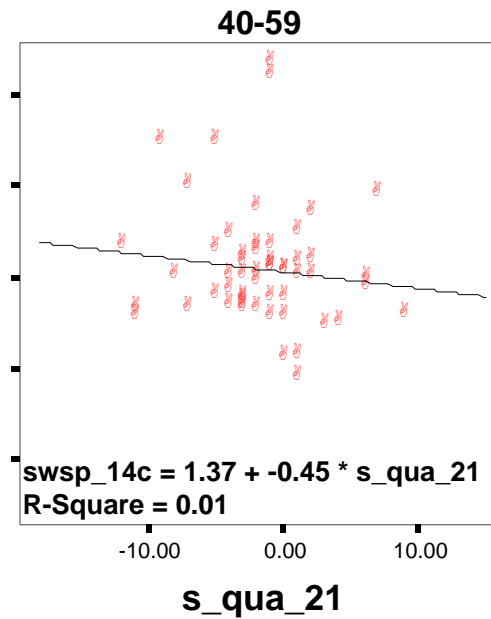
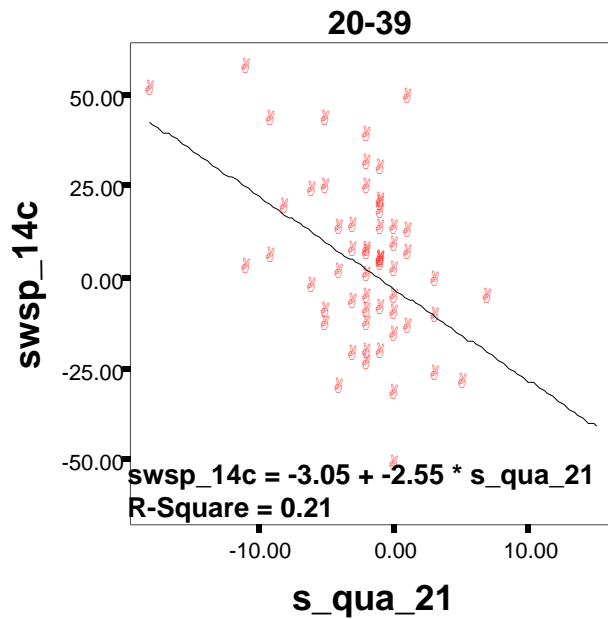


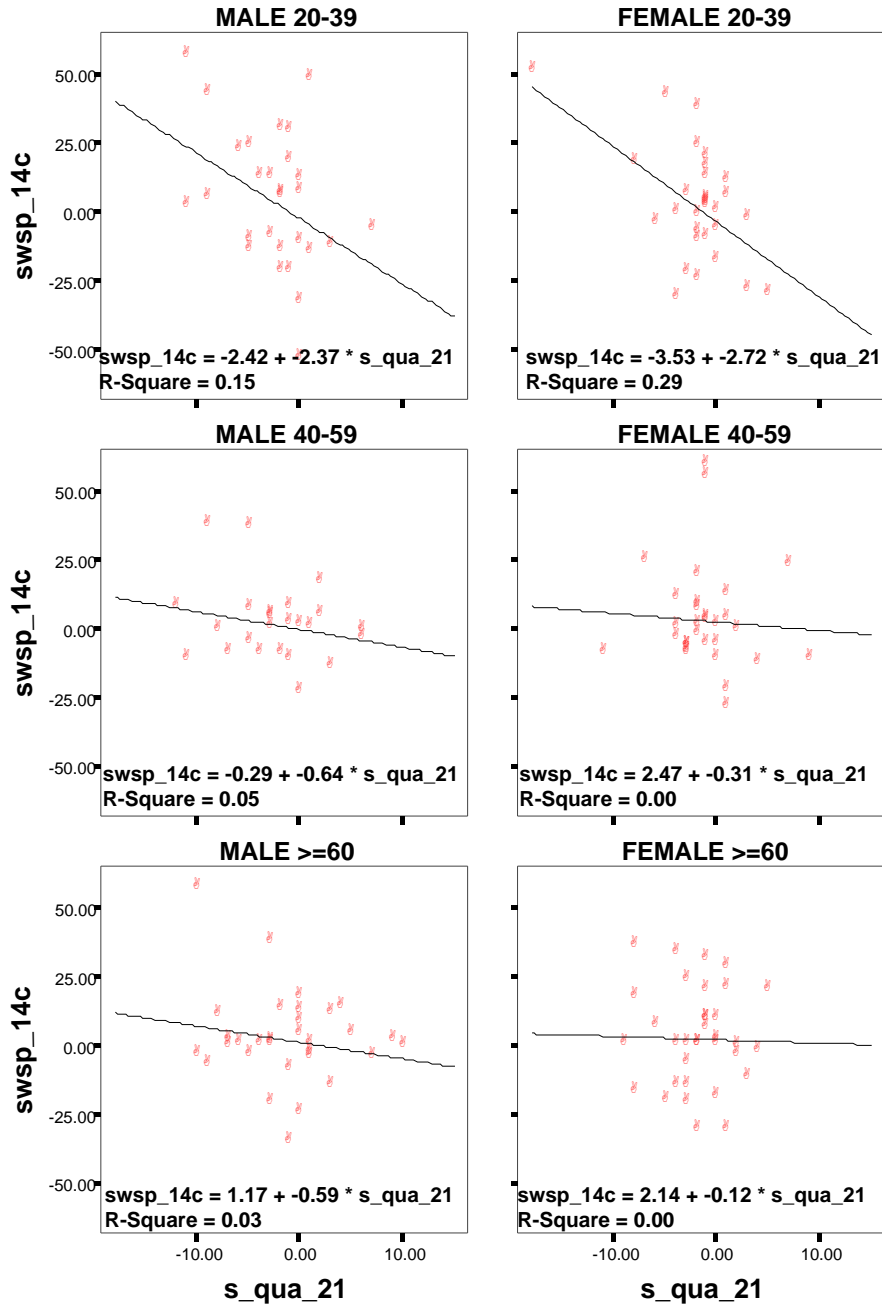
**“Subjective sleep quality” versus “Objective sleep quality”**  
R&K: 1<sup>st</sup> quarter of the night  
**SSA-1**  
**Slow-wave sleep (% TST)**





**“Subjective sleep quality” versus “Objective sleep quality”**  
R&K: 1<sup>st</sup> quarter of the night  
**SSA-1**  
**Slow-wave sleep (% TST)**





**SSA-1**

versus

**R&K**

**SWS**

**1<sup>st</sup> quarter**

**(% TST<sub>1</sub>)**

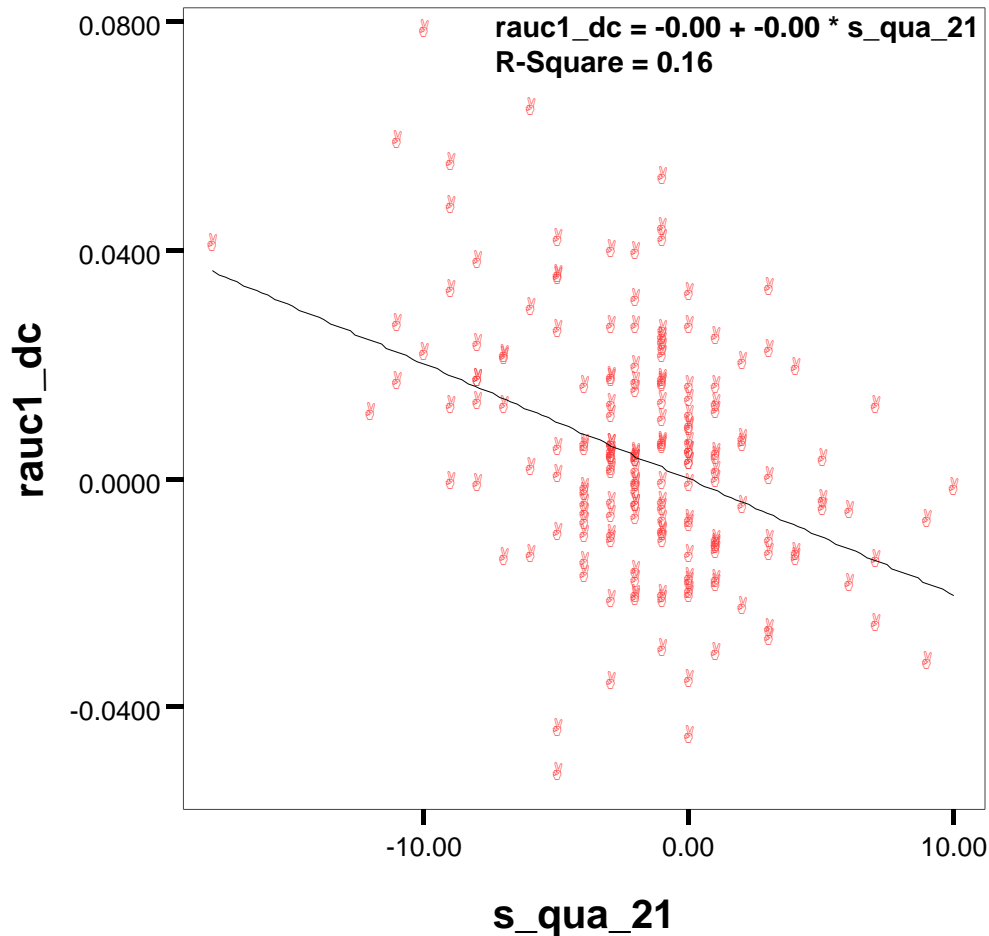
**“Subjective sleep quality” versus “Objective sleep quality”**  
**hGMM**  
**SSA-1** **Sleep architecture**

	Total Night – AUC in % of TSP			
SSA-1	rAUC-S1	rAUC-S2	rAUC-Deep	rAUC1-Deep
r	0.321	-0.312	-.289	<b>-.396</b>
p	000	000	.000	<b>.000</b>
N	176	176	176	<b>176</b>

# “Subjective sleep quality” versus “Objective sleep quality” hGMM

SSA-1

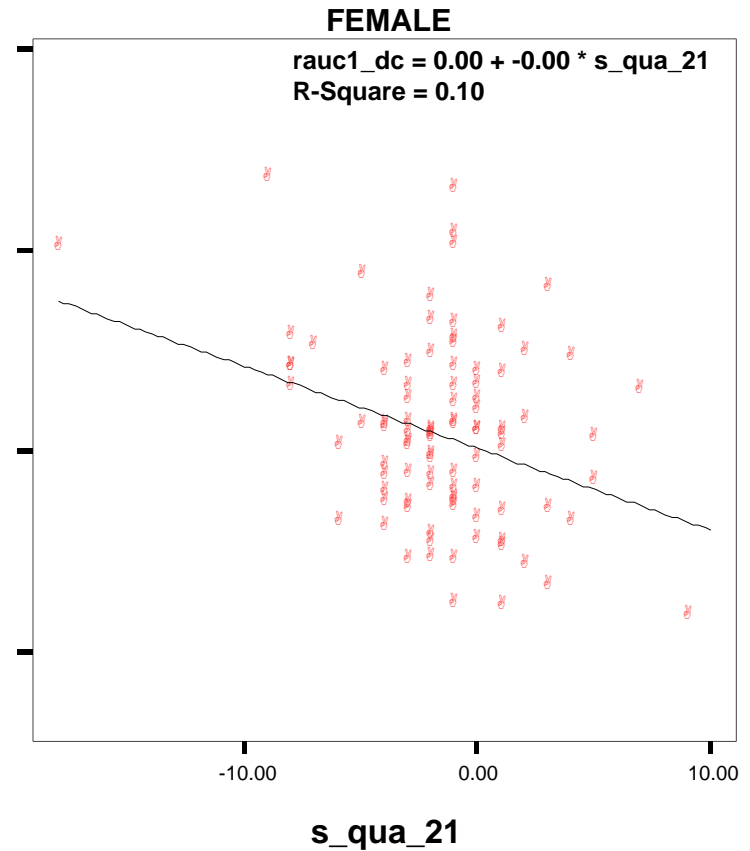
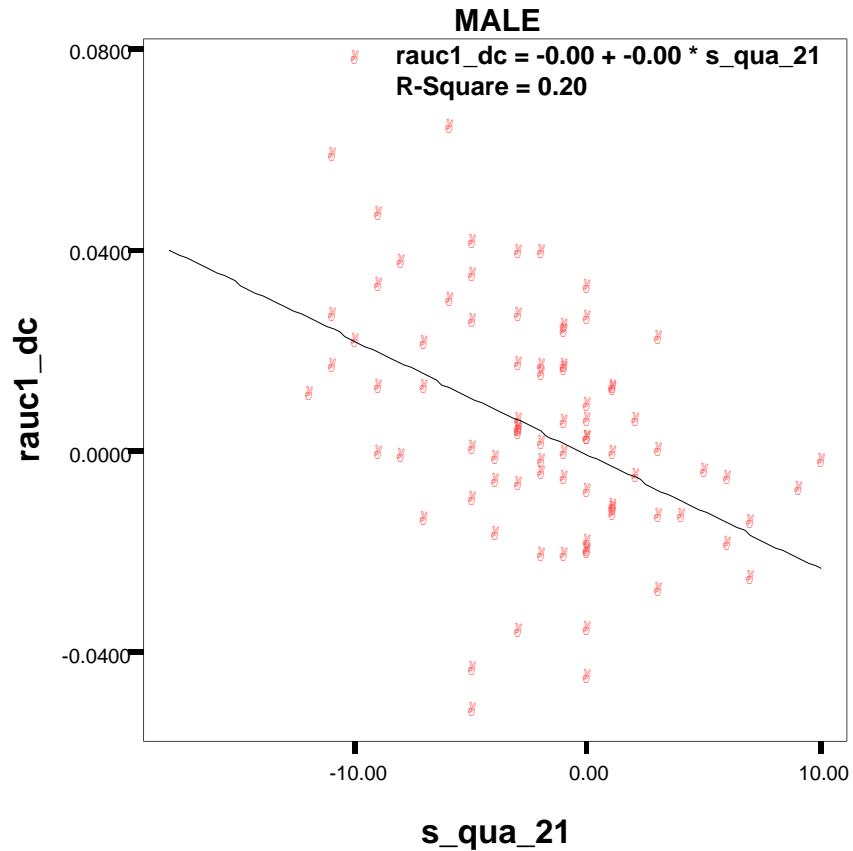
rAUC 1<sup>st</sup> derivative deep (% TSP)



# “Subjective sleep quality” versus “Objective sleep quality” hGMM

SSA-1

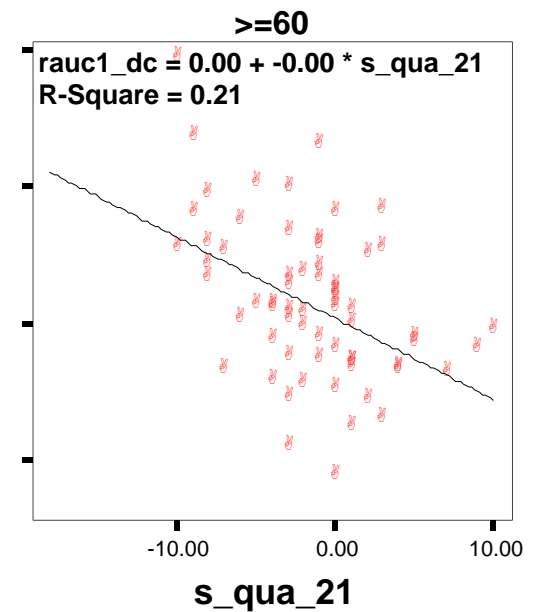
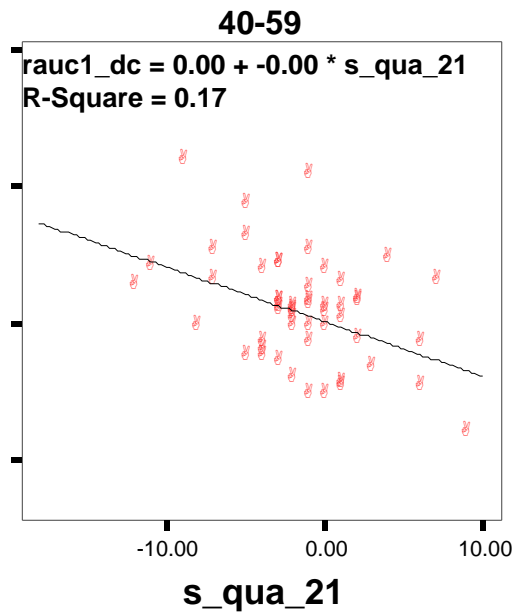
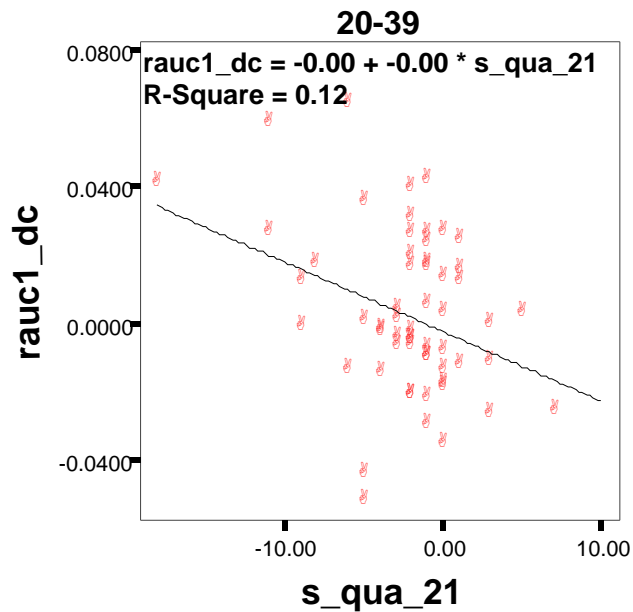
rAUC 1<sup>st</sup> derivative deep (% TSP)

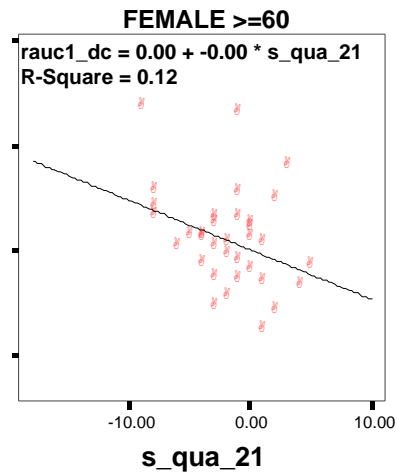
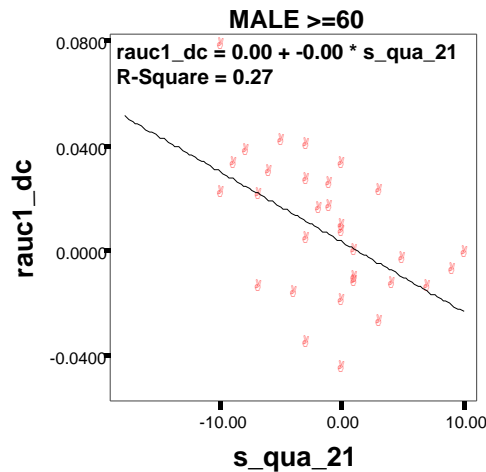
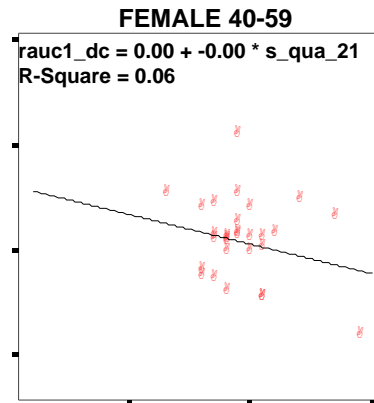
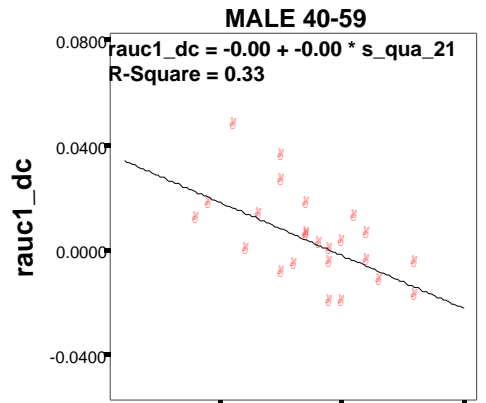
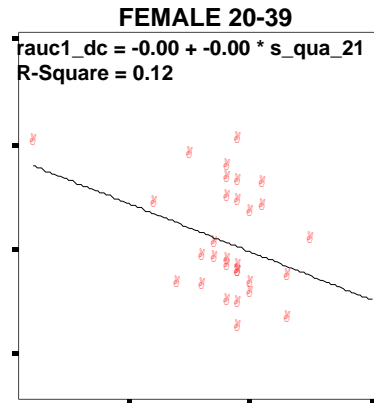
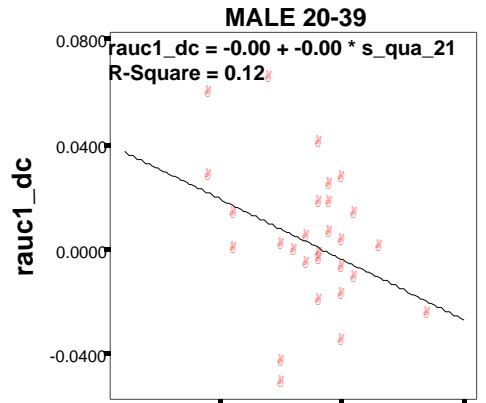


# “Subjective sleep quality” versus “Objective sleep quality” hGMM

SSA-1

rAUC 1<sup>st</sup> derivative deep (% TSP)





**SSA-1**

versus

**hGMM**

**rauc1<sup>st</sup> deriv.**

**deep (% TSP)**

**“Subjective sleep quality” versus “Objective sleep quality”**

**R&K**

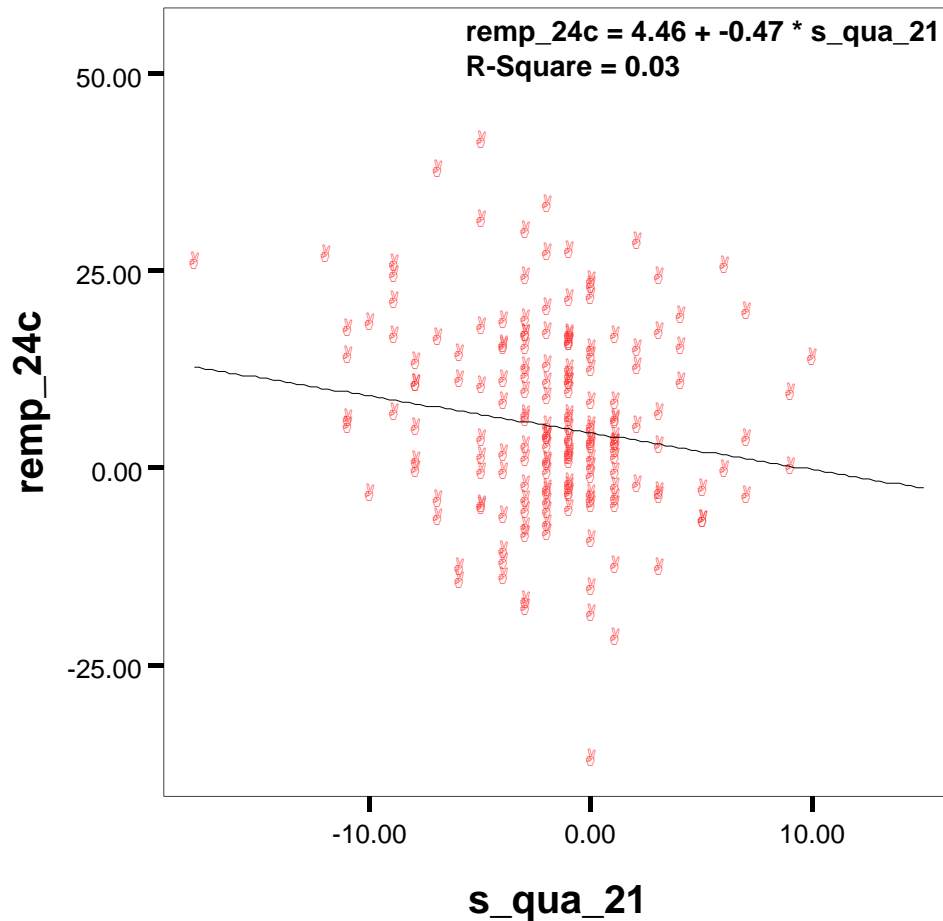
**SSA-1**

**Sleep architecture**

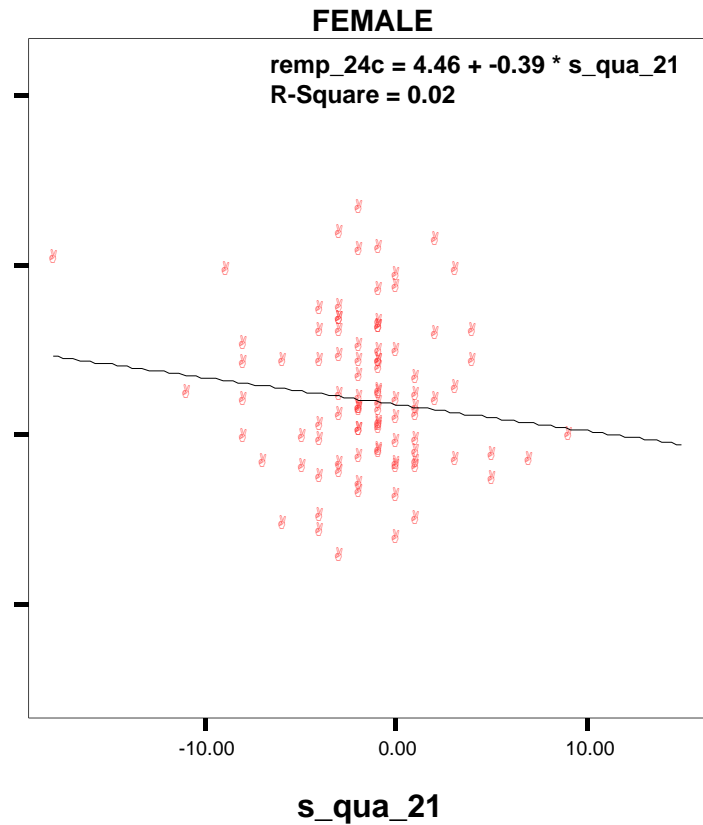
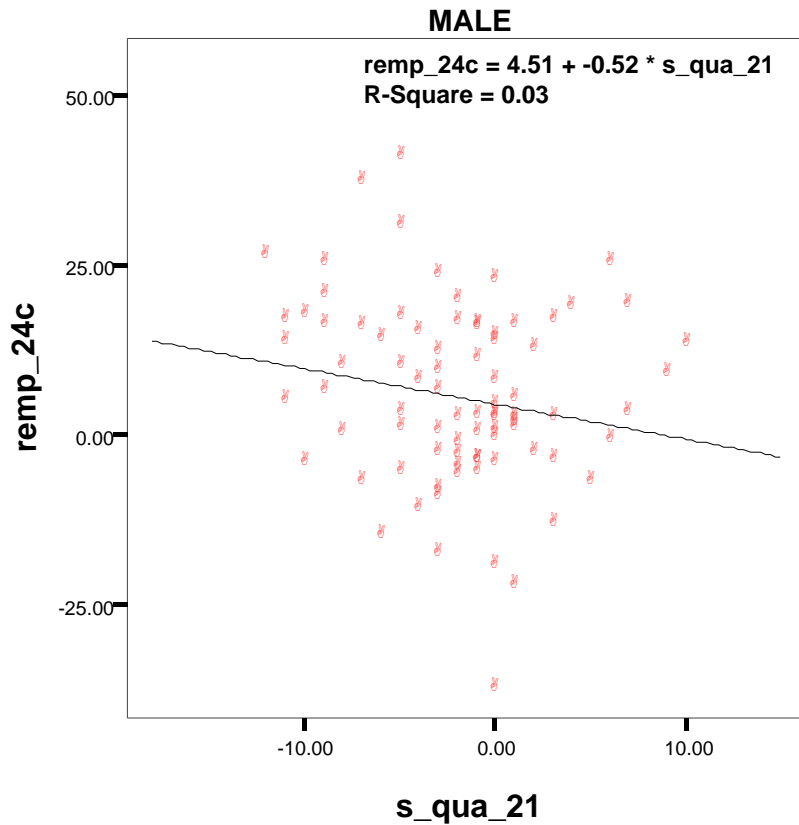
	2 <sup>nd</sup> Quarter of the Night – Stage in % of TST			
SSA-1	S1	S2	SWS	REM
r	.303	-.087	-.004	<b>-.162</b>
p	.000	.252	.955	<b>.031</b>
N	177	177	177	<b>177</b>



**“Subjective sleep quality” versus “Objective sleep quality”**  
**R&K: 2<sup>nd</sup> quarter of the night**  
**SSA-1** **REM sleep (% TST)**

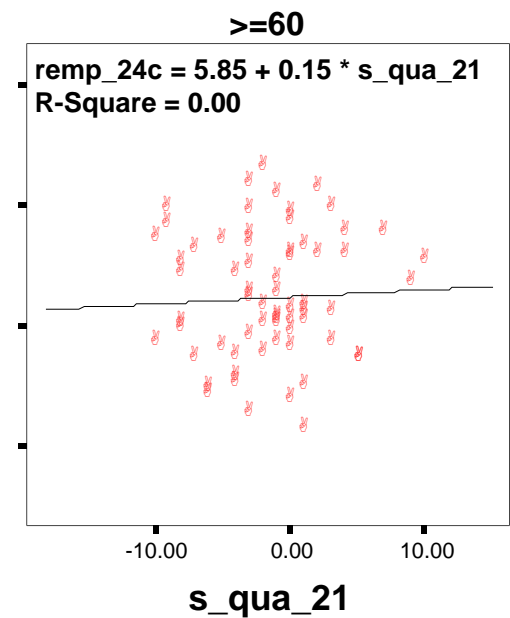
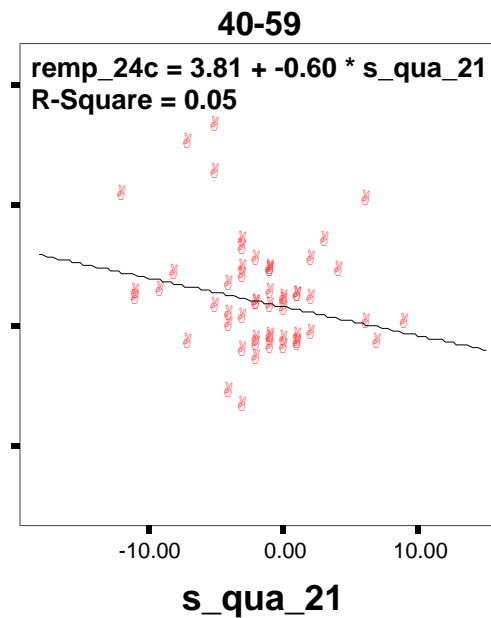
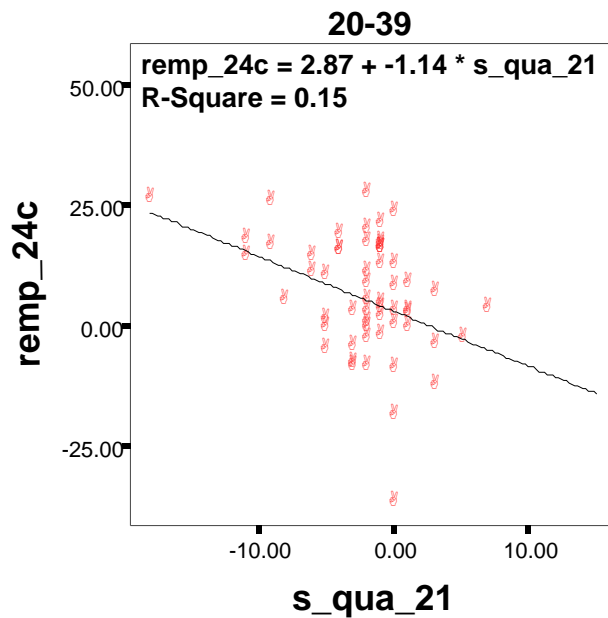


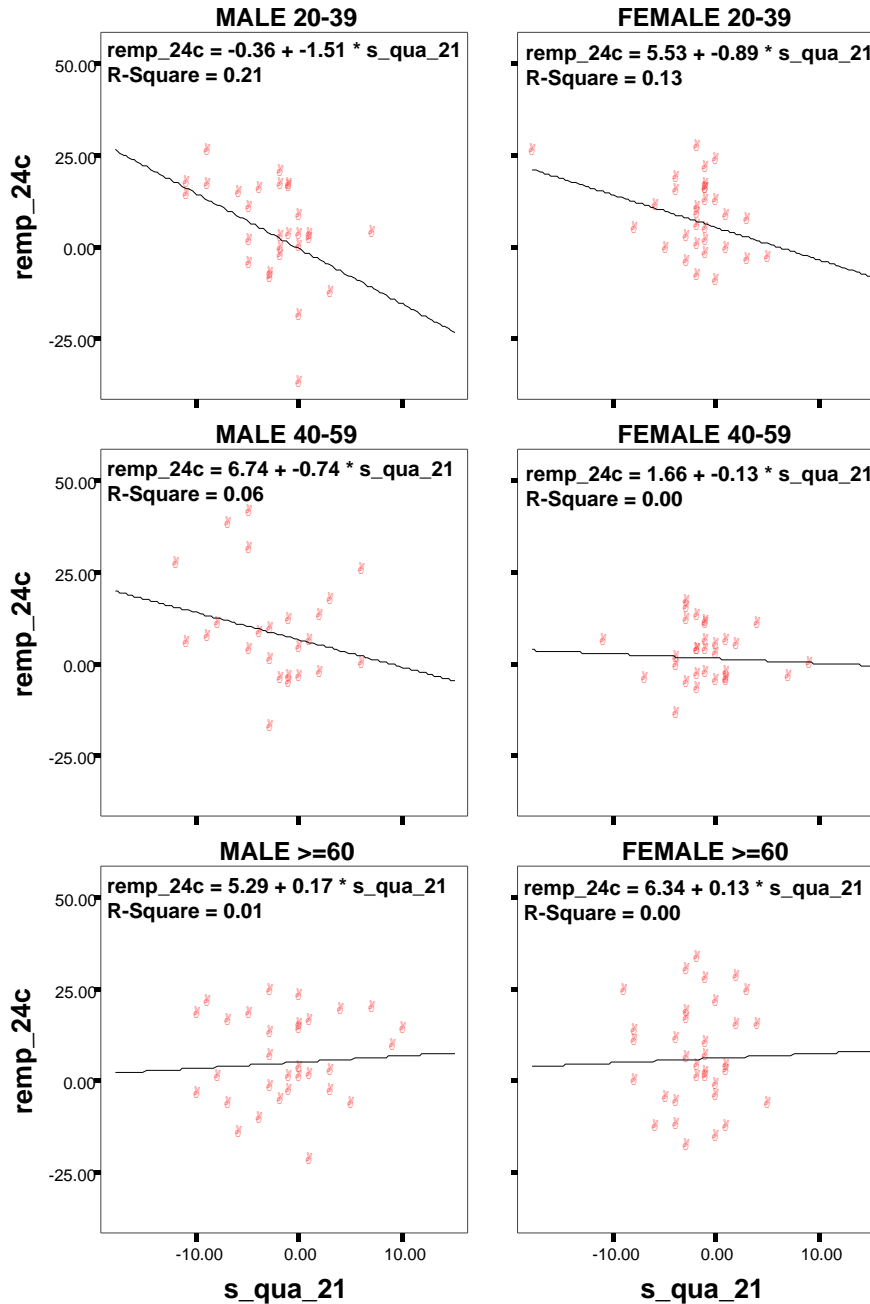
**“Subjective sleep quality” versus “Objective sleep quality”**  
**R&K: 2<sup>nd</sup> quarter of the night**  
**SSA-1**  
**REM sleep (% TST)**



# “Subjective sleep quality” versus “Objective sleep quality”

R&K: 2<sup>nd</sup> quarter of the night  
SSA-1  
REM sleep (% TST)





**SSA-1**

versus

**R&K**

**REM**

**2<sup>nd</sup> quarter**

**(% TST<sub>2</sub>)**

# “Subjective sleep quality” versus “Objective sleep quality”

## CONCLUSION – I

Even in “good” sleepers, the ***adaptation night*** introduced sufficient variance in sleep quality for a meaningful analysis.

Correlation analysis based on ***change values*** (2<sup>nd</sup> – 1<sup>st</sup> PSG night) reduced the problem of interindividual differences in handling rating scales and sleep habits.

***Sleep efficiency*** based on R&K and hGMM explains approximately 25% of the observed variance in subjective sleep quality, independent of subjects’ sex and age.

# “Subjective sleep quality” versus “Objective sleep quality”

## CONCLUSION – II

Measures for ***sleep continuity and architecture*** based on R&K showed significant correlations with subjective sleep quality only in young subjects.

In contrast, measures for ***sleep continuity and architecture*** based on hGMM showed significant correlations in all age-groups by exploiting the ***high temporal resolution*** (number of stage shifts) and by utilizing ***amplitude-independent*** measures (deep sleep).

Thus, the new continuous probabilistic ***hierarchical Gaussian Mixture Model*** (hGMM) provides additional complementary sleep characteristics.